



OFFICE OF THE VICE PRESIDENT – RESEARCH AND INNOVATION

OFFICE OF THE PRESIDENT  
1111 Franklin Street, 11<sup>th</sup> Floor  
Oakland, California 94607-5200

December 17, 2020

CHANCELLORS  
ACADEMIC COUNCIL CHAIR GAUVAIN  
LABORATORY DIRECTOR WITHERELL  
ANR VICE PRESIDENT HUMISTON

**Re: Systemwide Review of Proposed Presidential Policy University of California Research Data and Tangible Research Materials**

Dear Colleagues:

Enclosed for systemwide review is the Presidential Policy on University of California Research Data and Tangible Research Materials.

The enclosed Presidential Policy University of California Research Data and Tangible Research Materials addresses issues concerning the ownership of data and tangible materials generated during the course of UC research. Over the past two years, the Research Policy Analysis and Coordination (RPAC) unit within the department of Research and Innovation at UC Office of the President met with a small advisory group made up of representatives from UCSD, UCLA, UC Berkeley, the Office of General Counsel, the California Digital Library, and several Academic Senate committees. The resulting draft policy states that the Regents of the University of California own Research Materials and Tangible Research Materials. The policy draft also calls upon campus leadership and its researchers to work in partnership to manage, retain, preserve, protect, access and share Research Data and Tangible Research Materials. For additional information on the points considered during the writing of this draft policy, please see attached materials.

### **Systemwide Review**

Systemwide review is a public review distributed to the Chancellors, the Chair of the Academic Council, the Director of the Lawrence Berkeley National Laboratory, and the Vice President of Agriculture and Natural Resources requesting that they inform the general University community, especially affected employees, about policy proposals. Systemwide review also includes a mandatory, 90-day full Senate review.

Employees should be afforded the opportunity to review and comment on the draft policy. Attached is a Model Communication which may be used to inform non-exclusively represented employees about these proposals. The Labor Relations Office at the Office of the President is responsible for informing the bargaining units representing union membership about policy proposals.

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We would appreciate receiving your comments no later than April 7, 2021. Please submit your comments to [RPAC@ucop.edu](mailto:RPAC@ucop.edu) with the subject line "Research Data and Tangible Research Materials Policy Comments." If you have any questions, please contact Agnes Balla at [agnes.balla@ucop.edu](mailto:agnes.balla@ucop.edu) or (510) 987-9987.

Sincerely,



Theresa A. Maldonado, Ph.D., P.E.  
Vice President, Research & Innovation  
University of California Office of the President

Enclosures:

- 1) Presidential Policy University of California Research Data and Tangible Research Materials (clean copy)
- 2) Presidential Policy University of California Research Data and Tangible Research Materials Policy Points Considered
- 3) Model Communication

cc: President Drake

Provost and Executive Vice President Brown  
Executive Vice Chancellors/Provosts  
Executive Vice President and Chief Operating Officer Nava  
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Research Policy Manager Balla