

UCLA Academic Senate

June 8, 2021

Roger Wakimoto
Vice Chancellor for Research & Creative Activities

Re: UCLA Policy 956: IP Revenue Sharing with Not-for-Profit Nongovernmental Organizations

Dear Vice Chancellor Wakimoto,

At its meeting on June 3, 2021, the Executive Board reviewed the proposed revisions to the UCLA Policy 956: IP Revenue Sharing with Not-for-Profit Nongovernmental Organizations, and the responses of standing Senate committees (attached).

Members voted unanimously to endorse intent of proposal, but also to express concerns about issues raised by the proposal. Members agreed to a conditional approval contingent upon satisfactory a review of your response to the questions and concerns summarized below.

1. How is the university's contribution calculated per the formula in Section A5? Members observed that university's contribution is complicated to calculate, and the specific dollar amount is difficult to identify. Members recommended adding illustrative examples in the policy statement.
2. Has the institution considered scenarios in which UCLA will have limited involvement or no contributions (e.g. including facilitating only space) and the implications on IP revenue sharing?
3. What is the process by which, and the criteria for, the Vice Chancellor for Research to grant exceptions?
4. How does your office plan to change the institution to be more transparent and accountable in implementation of this policy?
5. Members expressed concern over section III. Statement A.2, "the Sponsor should not share in revenue arising from prior foundational IP that the Sponsor did not support." What efforts will the university undertake to properly document prior IP in such agreements to protect the university from invalid claims?

We respectfully request a written response to this letter and the enclosed materials by the end of August 2021. As always, we appreciate the opportunity to advise your office on the crucial issues facing the campus and look forward to working with you to address them.

Sincerely,



Shane White
Chair, UCLA Academic Senate

Encl.

Cc: Jody Kreiman, Vice Chair/Chair Elect, UCLA Academic Senate
Michael Meranze, Immediate Past Chair, UCLA Academic Senate
April de Stefano, Executive Director, UCLA Academic Senate