



OFFICE OF THE VICE PRESIDENT – RESEARCH AND INNOVATION

OFFICE OF THE PRESIDENT  
1111 Franklin Street, 11<sup>th</sup> Floor  
Oakland, California 94607-5200

March 6, 2020

CHANCELLORS  
ACADEMIC COUNCIL CHAIR BHAVNANI  
LABORATORY DIRECTOR WITHERELL  
ANR VICE PRESIDENT HUMISTON

**Re: Systemwide Review of Proposed Presidential Policy Protection of Human Subjects in Research**

Dear Colleagues:

Enclosed for systemwide review are proposed revisions to Presidential Policy on Protection of Human Subjects in Research.

This policy revises the current Protection of Human Subjects in Research policy, last updated in 1981, and describes the responsibilities of the University, its campuses and researchers, in protecting the rights and welfare of human subjects. The Research Policy Analysis and Coordination unit worked with the campus Institutional Review Board (IRB) Directors to comprehensively review what UC says about protecting those who participate in research at UC.

### **Systemwide Review**

Systemwide review is a public review distributed to the Chancellors, the Director of the Lawrence Berkeley National Laboratory, the Chair of the Academic Council, and the Vice President of Agriculture and Natural Resources requesting that they inform the general University community, especially affected employees, about policy proposals. Systemwide review also includes a mandatory, 90-day full Senate review.

Employees should be afforded the opportunity to review and comment on the draft policy. Attached is a Model Communication which may be used to inform non-exclusively represented employees about these proposals. The Labor Relations Office at the Office of the President is responsible for informing the bargaining units representing union membership about policy proposals.

We would appreciate receiving your comments no later than June 3, 2020. Please submit your comments to [RPAC@ucop.edu](mailto:RPAC@ucop.edu) with the subject line “Protection of Human Subjects in Research Policy Comments”. If you have any questions, please contact Agnes Balla at [agnes.balla@ucop.edu](mailto:agnes.balla@ucop.edu) or (510) 987-9987.

Sincerely,

A handwritten signature in cursive script that reads "Lourdes G. DeMattos".

Lourdes G. DeMattos  
Acting Executive Director  
Research Policy Analysis & Coordination

March 6, 2020

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Enclosures:

- 1) Presidential Policy Protection of Human Subjects in Research (clean copy)
- 2) Presidential Policy Protection of Human Subjects in Research (redline copy)
- 3) Model Communication

cc: President Napolitano  
Provost and Executive Vice President Brown  
Executive Vice Chancellors/Provosts  
Executive Vice President and Chief of Staff Nava  
Senior Vice President Bustamante  
Acting Vice President Lloyd  
Vice Provost Carlson  
Vice Provost and Interim Vice President Gullatt  
Vice Provosts/Vice Chancellors of Academic Affairs/Personnel  
Academic Personnel Directors  
Deputy General Counsel Woodall  
Executive Director Baxter  
Executive Director Chester  
Executive Director and Chief of Staff Henderson  
Executive Director Peterson  
Chief of Staff Levintov  
Chief of Staff Peterson  
Director Grant  
Director Lee  
Manager Donnelly  
Manager Smith  
Manager Crosson  
Analyst Wilson