Executive Board
(Systemwide Senate Review) Second Systemwide Review:
Proposed Revised APM Sections: 285, 210-3, 133, 740, 135 and 235

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SUSAN CARLSON, VICE PROVOST
ACADEMIC PERSONNEL

Re: Endorsement of Proposed Revisions to APM 285 and 210-3

Dear Susan:

In February, following the second systemwide review of proposed revisions to the Lecturer with Security of Employment (LSOE) APM series, I asked a Council working group to discuss – and attempt to reconcile – the different perspectives expressed within the Senate comments generated during the review.

At its April 25, 2018 meeting, the Academic Council voted to support the working group’s main proposal related to APM sections 285 and 210-3 – to replace the LSOE title series with a new series that includes a rank-and-step system parallel to the Professor Series, sabbatical privileges equivalent to the Professor Series, and increased expectations for teaching excellence and professional and/or scholarly achievement.

The vote is consistent with a motion passed by Council at its June 2017 meeting following the first systemwide review, “to endorse the concept of a new title series to replace LSOE that includes rank and step, sabbatical privileges, a research requirement that emphasizes pedagogy but allows research in the underlying discipline, and precludes new hires in the LSOE series.”

This recommendation is also largely consistent with the proposal circulated for second systemwide review in December; but, importantly, Council has recommended additional revisions to clarify that the primary responsibility of LSOE faculty is teaching and teaching-related tasks and their secondary responsibility is professional and/or scholarly activities, including creative activities, especially as they relate to pedagogy. The additional revisions also clarify that individuals in the LSOE series be evaluated for appointment and advancement primarily on teaching excellence, and that teaching is more highly weighted than professional and/or scholarly activity and service.

Council also voted to maintain the existing titles in the Lecturer with Security of Employment series, but to allow discretion for individual campuses to use “Teaching Professor” as a campus-wide working title alternative to “LSOE”.

Council believes strongly that LSOE faculty serve a vital role at the University and must be supported. We think these revisions will help promote equal and inclusive treatment of LSOEs,
enhance their recognition and respect within and outside of UC, as well as highlighting and clarifying contrasts between the scholarly expectations of the LSOE series and the duties of Unit 18 Lecturers.

Council is also sensitive to faculty concerns about how the new series could affect the professoriate and the UC mission – specifically, the potential for the new series to endanger the University’s research mission by making it easier to increase the number of teaching faculty at the expense of research faculty, and the potential for women and members of underrepresented groups to be disproportionately recruited to the LSOE series, rather than to the regular series.

Council has agreed to assemble a group to monitor the use of the revised LSOE series and examine how well it becomes linked to the overall goals of the University, particularly those related to equity and diversity. We also recommend that campuses conduct an ongoing assessment of the balance of faculty members holding different titles, to reflect the expectations of a research university. I have also asked the Council working group to develop guidance to campuses to integrate LSOEs more fully into the fabric of departmental and campus community life.

The Senate greatly appreciates your efforts to modify the proposal in response to faculty feedback during these systemwide Senate reviews. Please do not hesitate to contact me if you have any questions.

Sincerely,

Shane N. White, Chair
Academic Council

Encl.

Cc: Academic Council
    Senate Director Baxter
    Senate Executive Directors
285-0  **Policy**

a. Lecturer titles that have or lead to security of employment are faculty positions designed to meet the long-term instructional needs of the University that cannot be best fulfilled by an appointee in the professorial series (see APM - 220).

b. A budgeted FTE must be allocated for any full-time appointment in this series. Should the Chancellor approve a less than 100 percent appointment, a portion of a budgeted FTE equal to the percent time appointment must be allocated. See APM - 285-16-b for more information on part-time appointments.

285-4  **Definition**

a. The Lecturer with Security of Employment (LSOE) series is used for appointees who are members of the faculty of an academic or professional college, school, division, department, or program of the University whose primary responsibility is teaching and teaching-related tasks and secondarily professional and/or scholarly activities, including creative activities, especially as they relate to instruction and pedagogy. The faculty in this series also have responsibility for University and public service.

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1 Faculty appointed into the LSOE series prior to 7/1/19 are eligible to be evaluated under the criteria in effect as of 7/26/02. All other provisions of this policy apply effective 7/1/18.
b. An appointee in this series will regularly carry a heavier load of teaching than
will appointees in the professorial series.

285-8 Types of Appointments

Acting titles may be used (see APM - 235).

a. Titles in the Lecturer with Security of Employment series are:

(1) Lecturer with Potential for Security of Employment. This title is used for
those that have not yet been conferred security of employment.

(2) Lecturer with Security of Employment.

(3) Senior Lecturer with Security of Employment.

b. An appointment (as distinguished from a promotion or reappointment) occurs
when a person is employed in one of the three ranks above, if the individual’s
immediately previous status was:

(1) not in the employ of the University;
(2) in the employ of the University but not with a title in this series; or

(3) in the employ of the University in the same title but at a different campus.

c. A promotion is advancement from one rank to a higher rank within the LSOE series.

d. A merit increase is advancement in salary rate and step without change of rank (see APM - 610, Salary Increases).

e. A reappointment is the renewal of a Lecturer with Potential for Security of Employment appointment immediately following the end date of a previous appointment (i.e., without a break in service). A reappointment may or may not be accompanied by a merit increase.

285-9 Criteria

a. A candidate for appointment, reappointment, merit increase, or promotion in this series shall be evaluated by the following three criteria with teaching excellence being more highly weighted than the other two:
(1) Teaching excellence: The demonstration and maintenance of teaching excellence is the primary criterion for the series.

(2) Professional and/or scholarly achievement and activity, including creative activity.

(3) University and public service.

These criteria are further explained in APM - 210-3, Instructions to Review Committees That Advise on Actions Concerning the Lecturer with Security of Employment (LSOE) Series.

b. Change of series

In cases when there has been a review of an Assistant Professor and the Chancellor has decided not to continue the individual’s appointment in the professorial series, the individual may not subsequently be appointed on any campus to the LSOE series (or certain other titles) for a period of five years. (see APM - 133-0-a(3).)

Upon the recommendation of the department, and consistent with campus academic review processes, the Chancellor may appoint an Associate Professor or Professor to the Lecturer with Security of Employment or Senior Lecturer
with Security of Employment title. This change of series requires the written consent of the faculty member.

An appointee in the Lecturer with Security of Employment series may have a change of series into the professorial series, consistent with campus academic review processes and only upon satisfying recruitment compliance.

285-16 Restrictions

The following restrictions apply to the use of titles in this series:

a. In order to maintain the University’s mission for an appropriate balance between education, research, and service, the Chancellor, in consultation with the Academic Senate, may establish a cap on the number of appointments in this series. If so desired, a cap may be set for each school or department.

b. Normally, an appointment to this series is for 100 percent service to the University.

c. Security of employment may be granted only for an appointment at 51 percent or more time unless the Chancellor, whose authority may not be redelegated, approves the appointment by special exception.
d. An initial appointment at less than 100 percent but 51 percent or more time with a title in this series, or a subsequent permanent reduction in the percent time of an appointment, may be authorized under exceptional circumstances, provided the Chancellor specifically approves the arrangement as being in the best interests of the University based on the particular situation.

A memorandum of understanding between the Chancellor and the part-time appointee shall be signed by both parties, to clarify the following:

(1) There are no implied rights to current or future full-time security of employment and the only security of employment granted with this appointment is at the agreed upon percentage; and

(2) Workload expectations are based on the specified percentage of time of the appointment.

e. Appointment and advancement of a part-time appointee shall depend on the quality of performance in teaching excellence, professional and/or scholarly achievement and activity, including achievement and activity in creative work, and service with teaching being more highly weighted than the other two. Expectations for the quantity of performance shall be based on the percentage of time of the appointment, but expectations of the quality are the same as 100% time. In all cases, when an appointee is considering a part-time appointment, or
a temporary reduction in the percentage of time of an appointment, the terms of
the appointment and the performance expectations shall be discussed by the
dean, department chair, and the appointee at the outset and documented in a
memorandum of understanding for advancement.

f. When there has been a review of a Lecturer with Potential for Security of
Employment, Assistant Professor in the Professorial series, Assistant Professor
in Residence, or Assistant Professor of Clinical (e.g., Medicine) and the
Chancellor has decided not to continue the individual’s appointment in that
series, the individual may not be appointed on any campus to certain faculty
titles for a period of five years as set forth in APM - 133, Appendix A and also
APM - 133-0-a(3) and b(3).

285-17 Terms of Service

a. Lecturer with Potential for Security of Employment

(1) Term of Appointment

Each appointment and reappointment is limited to a maximum term of two
years with a specific end date. The total University service with this title in
combination with certain other titles may not exceed eight years, in
accordance with APM - 133-0-b.
(2) Appointment for Less Than Two Years

The appointment or reappointment of a Lecturer with Potential for Security of Employment may be for a period of less than two years only under the following circumstances:

(a) An appointment or reappointment with an effective date other than July 1st shall end typically on the second June 30th following the appointment or reappointment.

(b) A promotion or merit increase may become effective on July 1st before the end of a two-year term, but such advancement shall mark the beginning of a new term of appointment.

(c) Consistent with the eight-year limit, a terminal appointment for a Lecturer with Potential for Security of Employment may be for a period of less than two years provided adequate notice has been given, per APM - 285-17-a(4).

(3) Advancement
An appointee holding the title Lecturer with Potential for Security of Employment is eligible for reappointment, merit increase, and promotion based on careful reviews of the appointee’s progress and achievement, primarily in teaching excellence and secondarily in professional and/or scholarly achievement and activity, including creative activity, as well as service.

(4) Notice for Non-Renewal of Appointment

When an appointment as a Lecturer with Potential for Security of Employment is not to be renewed, written notice shall be given by the Chancellor prior to the expiration date in accordance with the schedule below.

(a) With less than one year of service as a Lecturer with Potential for Security of Employment by the end of the current period of appointment: at least a four-month notice.

(b) With at least one complete year of service and not more than two years of service as a Lecturer with Potential for Security of Employment by the end of the current period of appointment: at least a six-month notice.
(c) With more than two years of service as a Lecturer with Potential for Security of Employment by the end of the current period of appointment: at least a twelve-month notice.

(5) Termination Before the End of the Appointment Period

(a) Lecturer with Potential for Security of Employment

Termination of the appointment of a Lecturer with Potential for Security of Employment before the expiration of the appointment shall be only for good cause, after the opportunity for a hearing before the properly constituted advisory committee of the Academic Senate, in accordance with Regents’ Standing Order 103.10 and Senate Bylaws 336 or 337.

(b) Lecturer with Security of Employment and Senior Lecturer with Security of Employment

All appointments and promotions to the ranks of Lecturer with Security of Employment and Senior Lecturer with Security of Employment shall continue until terminated by resignation, retirement, or dismissal. An appointment with security of employment shall not be terminated except for good cause after the opportunity for a hearing before the properly constituted advisory committee
285-18 **Salary**

The Office of the President publishes a salary scale for this series. The Lecturer with Security of Employment series will include three ranks and the same steps as the professorial series.

The Chancellor, after appropriate review, has authority to approve salaries up to and including the Indexed Compensation Level (ICL).

The Provost and Executive Vice President for Academic Affairs has authority to approve salaries exceeding the ICL threshold. See APM - 220-85-d.

285-19 **Normal Periods of Service**

The normal periods of service at rank and step in this series are shown in the published salary scales and are described below. Although these time periods indicate the usual intervals between advancements, they do not preclude more rapid advancement in the case of exceptional merit or more gradual advancement when warranted and if unrelated to a Lecturer with Potential for Security of Employment’s eight-year limitation. Personnel reviews that are deferred due to stopping the clock...
for reasons as defined in APM - 133-17-g, -h, and -i or a family accommodation as
defined in APM - 760 should be treated procedurally in the same manner as
personnel reviews conducted at the usual intervals. All evidence produced during the
probationary period, including the period of the extension, counts in the evaluation of
the candidate’s review file. The file shall be evaluated without prejudice, without
regard to the length of service at the Lecturer with Potential for Security of
Employment rank, and so stated in the department chair’s letter.

(1) For a Lecturer with Potential for Security of Employment, the total period
of University service in the title Lecturer with Potential for Security of
Employment or in this and certain other titles (see APM - 133-0) shall not
exceed eight years, except as provided in APM - 133-12. The normal
period of service at a given step in this rank is two years.

(2) For a Lecturer with Security of Employment, the normal period of service
in this rank is six years. The normal period of service at step is two years in
each of the first three steps. Service at Steps IV and V is three years in each
step.

(3) For a Senior Lecturer with Security of Employment, the normal period of
service is three years at step in each of the first four steps. Service at Step V
and above may be of indefinite duration. Advancement to Step VI usually
will not occur after less than three years of service at Step V. This involves
an overall career review and will be granted on evidence of sustained and continuing excellence in each of the following three categories, with teaching excellence receiving primary weighting above the others: (1) extraordinary effectiveness and excellence in teaching and teaching-related tasks; (2) professional and/or scholarly achievement and activity; and (3) University and public service. Advancement from Step VI to Step VII, from Step VII to Step VIII, and from Step VIII to Step IX, will usually not occur before three years, and will only be granted on evidence of continuing achievement at the level required for advancement to Step VI.

Advancement to an Above-Scale rank involves an overall career review and is reserved only for the most highly accomplished faculty (1) whose work of sustained and continuing excellence has attained national or international recognition and broad acclaim reflective of its significant impact on education within the discipline; (2) whose contributions to University teaching and education outcomes are excellent; and (3) whose service is highly meritorious. Except in rare and compelling cases, advancement will not occur after less than four years at Step IX. Moreover, mere length of service and continued good performance at Step IX are not justification for further merit advancement. There must be demonstration of additional merit and distinction beyond the performance on which
advancement to Step IX was based. A merit advancement for a candidate already serving at above-scale must be justified by continuing evidence of accomplishment commensurate with this level. Continued good service is not an adequate justification. Intervals between such merit advances may be indefinite, and only in the most superior cases where there is strong and compelling evidence will advances at intervals shorter than four years be approved.

285-20 Conditions of Employment

a. Lecturer with Potential for Security of Employment, Lecturer with Security of Employment, and Senior Lecturer with Security of Employment are members of the Academic Senate, per Regents’ Standing Order 105.1(a).

b. An appointee to this series may be assigned to teach courses at any level.

c. An appointee with a title in this series is eligible to apply for sabbatical leave (see APM - 740).

285-24 Authority

Authority to approve appointments, reappointments, merit increases, and promotions to titles in this series are as follows:
a. Lecturer with Potential for Security of Employment
   The Chancellor, after appropriate review (see APM - 220-82).

b. Lecturer with Security of Employment
   The Chancellor, after appropriate review (see APM - 220-85).

c. Senior Lecturer with Security of Employment
   The Chancellor, after appropriate review (see APM - 220-85).

285-80 **Review Procedures**

The Chancellor, in consultation with the Committee on Academic Personnel, may
develop local review procedures for the Lecturer with Security of Employment
series. Campus procedures for review and advancement shall be modeled on the
general pattern of the review process for members of the professorial series (see
APM - 220-80 and APM - 210-3).

285-95 **Letters of Invitation and Notification**

See APM - 220-95 for model language. The term “security of employment” shall be
substituted for the term “tenure.”
210-3 Instructions to Review Committees That Advise on Actions Concerning the Lecturer with Security of Employment Series¹

a. The Bylaws of The Regents provide: “No political test shall ever be considered in the appointment and promotion of any faculty member or employee.” This provision is pertinent to every stage in the process of considering appointments and advancements.

b. The policies and procedures set forth above in APM - 210-1-a, -b, -c, and -e shall govern the committee in the confidential conduct of its review and in the preparation of its report. The committee should refer to APM - 285 for policies on the Lecturer with Security of Employment series.

c. The review committee shall evaluate the candidate with respect to the proposed rank and duties considering the record of the candidate’s performance in (1) Teaching excellence, (2) Professional and/or scholarly achievement and activity, including creative activity, and (3) University and public service.

¹ Faculty appointed in the LSOE series prior to 7/1/19 are eligible to be evaluated under the criteria in effect as of 7/26/02. All other provisions of this policy apply effective 7/1/18.
Superior intellectual attainment, as evidenced primarily in excellent teaching and secondarily in professional and/or scholarly achievement and activity, including achievement and activity in creative work, is an indispensable qualification for appointment or promotion to security of employment. This standard for appointees in the Lecturer with Security of Employment series is necessary for maintaining the quality of the University as an institution dedicated to education. The review committee must further evaluate whether the candidate has a record of excellence in teaching while engaging in a program of professional and/or scholarly or creative activity that is appropriate for this series.

The University of California is committed to excellence and equity in every facet of its mission. Contributions in all areas of faculty achievement that promote equal opportunity and diversity should be given due recognition in the academic personnel process, and they should be evaluated and credited in the same way as other faculty achievements. For faculty in this title series, these contributions to diversity and equal opportunity are most likely to be focused on teaching and learning and can take a variety of forms including efforts to advance equitable access to education, public service that addresses the needs of California’s diverse population, or teaching that is particularly sensitive to diverse populations. Mentoring and advising of students and faculty members, particularly from underrepresented and underserved
populations, should be given due recognition in the teaching or service
categories of the academic personnel process.

d. The candidate is expected to submit for the review file a presentation of his or
her activity in all three areas of teaching excellence, professional and/or
scholarly achievement and activity, and University and public service.
Evidence may be relevant to evaluation of achievement in more than one
category and the review committee will assign the evidence to the appropriate
category. Campus guidelines may include separate requirements, expectations,
or guidelines for various schools or departments. The criteria set forth below
are intended to serve as guides for minimum standards by which to evaluate
the candidate, not to set boundaries to exclude other elements of performance
that may be considered.

(1) Teaching Excellence

Clearly demonstrated evidence of excellent teaching is an essential criterion
for appointment, advancement, or promotion. Faculty in the Lecturer with
Security of Employment series are expected to maintain a continuous and
current command of their disciplinary subjects. They should, among other
things, demonstrate the ability to foster an inclusive, stimulating, and
effective learning environment.
When evaluating the effectiveness of a candidate’s teaching, the committee should consider the following objectives for individuals in this series: display evidence of continuous growth and mastery of the subject field; emphasize the connections between the relevant subject and other fields of study; foster an environment that supports student curiosity, independent evaluation of evidence, and capacity to reason; provide guidance, mentoring, and advising to students, teaching assistants, and other staff and faculty with teaching responsibilities; create an academic environment that facilitates active participation and learning by all students with a focus on developing effective strategies to advance learning by students in various underrepresented groups; contribute to the development and adoption of effective evidence-based pedagogical strategies including instructional units, materials, and resources; incorporate and promote significant curricular revisions informed by current pedagogical knowledge; and apply and advocate for effective teaching techniques.

The committee should attend to the variety of demands placed on the Lecturer with Security of Employment series by the types of teaching called for in various disciplines and at various levels and should evaluate the total performance of the candidate with proper reference to assigned teaching responsibilities. The committee should clearly indicate the sources of evidence on which its appraisal of teaching excellence has been based. In preparing its recommendation, the review committee should keep in mind...
that the report is an important record of the candidate's teaching and serves
as the basis for additional recommendations and the final decision.

It is the responsibility of the department chair to submit meaningful
evaluation, accompanied by supporting evidence, of the candidate’s teaching
effectiveness.

The following is a broadly defined, non-exclusive list of evidence that may
be presented concerning teaching excellence:

(a) Peer review assessments from other faculty members based on
knowledge in the candidate’s field; class visitations; attendance at the
candidate’s lectures before professional societies or in public; or the
performance of students who have studied with the candidate;

(b) Evaluations or comments solicited from students in courses taught since
the candidate’s last review;

(c) A term-by-term enumeration of the number and types of courses and
tutorials taught since the candidate’s last review including:

   (i) the level of courses and tutorials taught;

   (ii) the enrollments of courses and tutorials taught;
(iii) the percentage of student course evaluations in relation to the total number of students in each course;

(iv) brief explanations for unusual course loads;

(d) Identification of any new courses taught or of previously-taught courses for which the candidate has substantially reorganized the approach or content;

(e) Documentation of the introduction of new substantive developments in the field or of new and effective techniques of instruction, including techniques that meet the needs of students from groups that are underrepresented in the field of instruction;

(f) Documentation of success as a positive role model or effective mentor for students at all levels, including those serving as teaching assistants;

(g) Results from studies conducted to measure changes in student understanding of the subject material from the beginning to the end of the course;

(h) Written testimony from former students on the impact and effectiveness of the candidate’s teaching and mentorship;
(i) Awards or other acknowledgements of excellent teaching;

(j) A self-evaluation of the candidate’s teaching.

Initial appointment to the Lecturer with Potential for Security of Employment title requires clear evidence of the potential for teaching excellence.

Appointment or promotion to the Lecturer with Security of Employment title requires clear documentation of consistent and sustained excellence in effective teaching. Under no circumstances will security of employment be conferred unless there is clear documentation of consistent and sustained excellence in teaching.

Appointment or promotion to the Senior Lecturer with Security of Employment title requires evidence of consistent and sustained excellence in effective teaching and demonstrated distinction in the special competencies appropriate to teaching the particular subject.

(2) **Professional and/or Scholarly Achievement and Activity**

Clearly demonstrated evidence of professional and/or scholarly achievement and activity, including creative activity, is one of the criteria for appointment
or promotion. Professional and/or scholarly activities may be related to the underlying discipline itself or to pedagogy. Such activities should provide evidence of achievement, leadership, and/or influence on the campus or beyond. Certain administrative activities (e.g., of learning centers and teaching programs) and community outreach activities are also relevant, as would be presentations of seminars or lectures at other institutions or professional societies, or participation in scholarly activities (e.g., summer seminars) designed to enhance scholarly expertise in relevant fields. Other records of participation in intensive programs of study—in order to be a more effective teacher and scholar, with the goal of enhancing one's teaching and scholarly responsibilities—are also relevant evidence of professional and/or scholarly activity.

Creative activities count as relevant professional and/or scholarly activities in appropriate disciplines. In certain fields, such as art, architecture, dance, music, literature, and drama, an accomplished creation should receive consideration as an example of professional and/or scholarly achievement and activity. In evaluating creative activities, an attempt should be made to define the candidate’s merit in the light of such criteria as originality, scope, richness, and depth of creative expression.

The following are broadly defined, non-exclusive examples of evidence that may be presented:

Rev. 12/14/17
(a) Documentation of the development of or contributions to:

(i) original materials designed to improve learning outcomes;

(ii) evidence-based design and evaluation of educational curricula or pedagogy;

(iii) administration and evaluation of a teaching program or a learning center;

(iv) systematic quality improvement programs and evaluation of their implementation;

(v) discipline-specific information systems;

(vi) development and evaluation of community outreach or community-oriented programs.

(b) First, senior, or collaborative authorship of scholarly or professional publications;
(c) Accomplished performance, including conducting and directing;

(d) Accomplished artistic or literary creation;

(e) Accepted invitations to present seminars or lectures at other institutions or before professional societies.

Initial appointment to the Lecturer with Potential for Security of Employment title requires evidence or promise of productive and creative contributions to professional and/or scholarly activity that would support excellent teaching.

Appointment or promotion to the Lecturer with Security of Employment title requires evidence of sustained professional and/or scholarly achievement and activity in support of excellent teaching.

Appointment or promotion to the Senior Lecturer with Security of Employment title requires evidence of consistent and sustained professional and/or scholarly achievement and activity and a profile of excellent teaching that have made the candidate a leader in the professional field and/or in education.
(3) University and Public Service

The review committee should evaluate the quantity and quality of service to the department, the campus, the University, and the public (whether to the local community, state, or nation). Service which is directly related to the candidate’s professional expertise and achievement is of special relevance but so too is service in areas beyond those special capacities when the work done is of sufficiently high quality. Examples of service include: service related to the improvement of curricula or standards in elementary and secondary education; service on thesis and dissertation committees or on student-faculty committees and service to student organizations; participation in Academic Senate and campus committees and initiatives; and contributions furthering diversity and equal opportunity within the University through participation in recruitment, retention, and mentoring of scholars and students.

Initial appointment to the Lecturer with Potential for Security of Employment title requires evidence of the likelihood of participation in department activities and the potential for service to the campus.

Appointment or promotion to the Lecturer with Security of Employment title requires evidence of activity on committees within the professional field, department, school, campus, or University; or of service to the public in
areas directly related to the candidate’s professional expertise and achievement.

Appointment or promotion to the Senior Lecturer with Security of Employment title requires active participation on committees within the professional field, department, school, campus, or University; or of service to the public or profession in areas directly related to the candidate’s professional expertise and achievement.
February 20, 2018

Shane White
Chair, Academic Council


Dear Shane,

The Executive Board of the UCLA Academic Senate discussed the second Systemwide Review of the proposed revisions to APM Sections: 285, 210-3, 133, 740, 135 and 235 at its meeting on February 8, 2018, as well as at the Council of Faculty Chairs meeting on January 22, 2018. The Executive Board solicited comments from standing committees of the Senate, as well as the Faculty Executive Committees, to maximize faculty feedback. The individual responses from the various committees follow this summary.

Without reservation, the Executive Board members strongly opposed the proposed revisions to APM Sections 285, 210-3, 133, 740, 135 and 235 related to the Lecturer with Security of Employment faculty series. Below are the major concerns.

The Working Title Professor of Teaching

The current proposal establishes a Security of Employment (SOE) series rather than a Teaching Professor series as in the previous proposal. Assistant, Associate, and Professor of Teaching can now be used as working titles. However, many faculty continue to oppose the use of the title “Professor” when the primary function of the title-holder is teaching, with little expectation for research. Many were concerned that the new series and working title options could erode the professorial series by implying that ladder faculty do not or are unwilling to do their fair share of teaching.

Creation of an Unhealthy Two-tier or Three-tier System

Because SOE faculty will by design have greater teaching loads than faculty in the professorial series, it is almost inevitable that a two-tier system will emerge in units and departments. It is difficult to anticipate how visible this two-tier system will be since there are no predetermined limits on the number of SOE faculty (this is something that is negotiated locally with the Chancellor and Academic Senate, by unit or department). We were especially concerned about the perceived fluidity between the series in that professorial faculty at the associate or full professor levels could be asked by the Chancellor, with their consent, to change to the SOE series. We were concerned that this fluidity could be used as a mechanism to “demote” nonproductive professorial faculty rather than strategically working with them to promote continued advancement within the series in which they were hired. We continue to worry that women and members of underrepresented groups would be disproportionately recruited into the less prestigious SOE series (with its lure of a professor title) when, in fact, they had the qualifications for the professorial series. In addition, growing numbers of SOE faculty could undermine Unit 18 lecturers and the unions that represent them, which could contribute to a 3-tier system of professorial faculty, SOE professors of teaching, and Unit 18 lecturer in many units and departments.

Evaluation of SOE Faculty

SOE faculty will be members of the Academic Senate and will be advanced on the same rank and steps within rank schedule as professorial faculty. This process continued to raise questions about how faculty in this new series will be evaluated. Innovation in teaching is no longer required, which all of us found perplexing, especially for more senior SOE faculty. One of the three criteria for advancement is “professional and/or scholarly achievement and activity, including creative activity.” Examples of these activities included in the revised APM 210
suggested that advancement would require a great deal of activity in addition to classroom teaching, meaning SOE faculty may have to do less of the very activity that was the justification for the series. We viewed this ambiguity about criteria for advancement as especially problematic since SOE faculty are also eligible for accelerations and sabbaticals.

 Allocation of Limited Resources
With no clear cap on the number of SOE faculty the campus or each unit may employ, there were worries that deans may be tempted to use this series as a way to save money in this era of undergraduate enrollment growth without additional resources. We were concerned that cost savings would further undermine our academic mission in which research and teaching are integrally aligned with one another. The ratio of non-ladder to ladder faculty has increased dramatically in recent years, especially in the teaching of undergraduate courses. Unchecked use of this new series could further exacerbate the decline in the number of faculty in the professorial series.

A few campuses apparently are already using this series on a limited basis. It might be worthwhile to gather data from these campuses on how the series is working before we vote again on whether it should be made permanent.

As always, the Executive Board appreciates the opportunity to opine. Please feel free to contact me should have any questions.

Sincerely,

Sandra Graham
Chair, UCLA Academic Senate

cc: Hilary Baxter, Executive Director, Systemwide Academic Senate
    Susan Cochran, Immediate Past Chair, UCLA Academic Senate
    Joseph Bristow, Vice Chair/Chair-Elect, UCLA Academic Senate
    Michael LaBriola, Principal Policy Analyst, Systemwide Academic Senate
    Linda Mohr, Chief Administrative Officer, UCLA Academic Senate
December 14, 2017

CHANCELLORS
LABORATORY DIRECTOR WITHERELL
ACADEMIC COUNCIL CHAIR WHITE
ANR VICE PRESIDENT HUMISTON

Section 285, Lecturer with Security of Employment Series;
Section 210-3, Instructions to Review Committees Which Advise on Actions Concerning the Lecturer with Security of Employment Series;
Section 133, Limitation on Total Period of Service with Certain Academic Titles;
Section 740, Leaves of Absence/Sabbatical Leaves;
Section 135, Security of Employment;
Section 235, Acting Appointments

Dear Colleagues:

Enclosed for a second systemwide review are proposed revisions to the Academic Personnel Manual as follows:

- Section 285, Lecturer with Security of Employment Series;
- Section 210-3, Instructions to Review Committees Which Advise on Actions Concerning the Lecturer with Security of Employment Series;
- Section 133, Limitation on Total Period of Service with Certain Academic Titles;
- Section 740, Leaves of Absence/Sabbatical Leaves;
- Section 135, Security of Employment;
- Section 235, Acting Appointments.

Revisions to these policies have been considered during two previous consultation periods: management consultation, from October to December 2016, and the first systemwide review, from March to June 2017. Substantive feedback related to the above-referenced policies was submitted during the first systemwide review, thus prompting a second systemwide review. In this second systemwide review, endnotes are included on the “clean” version of each proposed draft revision to provide details on changes made in response to comments received during the first systemwide review.

Please note that the policy revisions are presented in three formats:

- First, there is a “clean” version of the policy as proposed for inclusion in the APM. Explanatory endnotes will be removed from final policy.
- Second, there is a “redline” version of the policy section indicating changes from the first systemwide review to the second systemwide review.
- Third, there is another “redline” version of the policy section indicating changes from the current APM policy to the second systemwide review.
December 14, 2017
Page 2

We are including two redline versions to allow for a full understanding of the policy development process.

Policy Revisions in Second Systemwide Review

Summarized below are some of the recommendations received during the first systemwide review and incorporated in the second systemwide review proposed policy revisions:

Series. The series is renamed from “Lecturer with Security of Employment Series” to “Security of Employment Series.”

Title. The recommended title and ranks are Assistant Lecturer with Potential for Security of Employment, Associate Lecturer with Security of Employment, and Lecturer with Security of Employment. The working title of “Teaching Professor” or equivalent may be used. Acting titles have expanded to include the Security of Employment series. These changes are responsive to a variety of concerns about the titles proposed in the first systemwide review.

Academic Expectations and Evaluation. “Innovation” was removed as a requirement of “Teaching Excellence.” Performance specifications of this series have been revised from the title of “Professional and Scholarly Achievement and Activity” to the title of “Professional and/or Scholarly Achievement and Activity.” In addition, creative activities are defined as a part of “Professional and/or Scholarly Achievement and Activity.” Advancement to Step VI and to Above-Scale will require overall career reviews modeled on criteria established for the Professor series.

Limits on Use of Title. The current revision clarifies that the Chancellor, in consultation with the Academic Senate, may establish a limit to the number of faculty hired into this series at the campus, school, or departmental level. In related concerns, some comments received expressed concern that the revisions to the series would negatively affect underrepresented minorities and women who may be appointed to the Security of Employment (SOE) series instead of the professorial series. As is the case with all faculty titles, UCOP and the campuses will monitor the diversity of the faculty in the SOE and professorial title series.

Other Related Policy Revisions. Proposed revisions to APM - 135 and APM - 235, which are included in the current review, ensure that appropriate policies are in place to support proposed changes to APM - 285, 210-3, 133, and 740.

Implementation

During the first systemwide review, many questions, concerns, and important issues were raised regarding the implementation of the revised set of policies. In response, UCOP will develop a detailed toolkit and implementation plan to be issued along with the revised policies. A guiding principle of the revisions proposed in this second systemwide review is that all faculty currently in the Lecturer with Potential for Security of Employment/Lecturer with Security of Employment (LPSOE/LSOE) titles be moved to the new titles and related policy. The revised policies are written broadly to serve current and future faculty in the SOE series.

Appointees in the SOE series at the time of transition to a revised APM policy will be covered under the revised policies; however, these faculty are eligible to be evaluated under the current criteria (dated 7/26/02) and should work with their campus administration to individually determine the policy criteria (2002 or 2018) under which they will be evaluated.
Faculty appointed into the SOE series prior to 7/1/19 will also be eligible to be evaluated under the 7/26/02 criteria, although it is encouraged that all SOE faculty be evaluated under the revised 2018 policies whenever possible.

In addition, current SOE faculty may, but will not be required to, delete the time as a Unit 18 Lecturer toward the eight-year clock toward security of employment. All other provisions of the revised policies will apply on the effective date established at the time of issuance. Campuses will have up to one year to implement the revised policies including adoption of new titles, ranks, and steps.

Compensation and Salary Scales. Should the policy revisions be approved, Academic Personnel and Programs (APP)—in consultation with the Academic Senate and administration—will coordinate the development of revised salary scales for the SOE series based on the same salary scales as the Professor series and operating under several principles: 1) the steps and advancement periods for the SOE series will be the same as those for the Professor series including the Business/Engineering/Economics, Law, and Veterinary Medicine scales, 2) salaries for incumbents in the SOE series will not be reduced in the transition to the revised scales, and 3) campuses will transfer incumbents to the step system based on years of experience and the title mapping model shown in the table below. The revised scales (and additional guidance on mapping) will be issued by the UC Provost and Executive Vice President, and campuses will have up to one year after the policies are issued to transfer SOE faculty to the revised scales.

Sabbatical. APP is working on sabbatical conversion guidelines to assist in determining the number of sabbatical credits that should be assigned to those faculty currently in the LPSOE/LSOE titles. Determining factors include years in the series and previous leave history.

Senate Membership. UCOP will work with the UC Regents on possible revisions to the Regents’ Standing Order 105.1 that governs the status of full-time and part-time LPSOE/LSOE. Any new APM policy language will be fully compliant with the Regents policy and standing orders.

Proposed Title Mapping

<table>
<thead>
<tr>
<th>Current Title</th>
<th>Titles proposed in Second Systemwide Review</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lecturer with Potential for Security of Employment</td>
<td>Assistant Lecturer with Potential for Security of Employment (working title of Assistant Teaching Professor)</td>
</tr>
<tr>
<td>Lecturer with Security of Employment</td>
<td>Associate Lecturer with Security of Employment (working title of Associate Teaching Professor)</td>
</tr>
<tr>
<td>Senior Lecturer with Security of Employment; Senior Lecturer with Potential for Security of Employment</td>
<td>Lecturer with Security of Employment (working title of Teaching Professor)</td>
</tr>
</tbody>
</table>

Systemwide Review

The second systemwide review is intended to resolve prior concerns and to answer remaining questions. It is distributed for additional input and comment to the Academic Senate, the Chancellors, the Director of the Lawrence Berkeley National Laboratory, the Chair of the Academic Council, and the Vice President of Agriculture and Natural Resources with the request that they inform the general University community, especially affected employees, about policy proposals. This current review includes a 90-day comment period to allow for full Academic Senate review.

Employees should be afforded the opportunity to review and comment on the draft policy, available online at [http://www.ucop.edu/academic-personnel-programs/academic-personnel-policy/policies-under-review/index.html](http://www.ucop.edu/academic-personnel-programs/academic-personnel-policy/policies-under-review/index.html). Attached is a Model Communication which may be used to inform non-exclusively...
represented employees about these proposals. The Labor Relations Office at the Office of the President is responsible for informing the bargaining units representing union membership about policy proposals. Please submit your comments no later than March 14, 2018 to ADV-VPCARLSON-SA@ucop.edu. If you have other comments or questions, please contact Kimberly Grant at Kimberly.Grant@ucop.edu or (510) 987-9499.

Sincerely,

[Signature]

Susan Carlson
Vice Provost
Academic Personnel and Programs

Enclosures:

   a. clean copy second systemwide review
   b. redline from first systemwide review to second systemwide review
   c. redline from current policy to second systemwide review

   a. clean copy second systemwide review
   b. redline from first systemwide review to second systemwide review
   c. redline from current policy to second systemwide review

3. Proposed Revised Draft APM - 133, Limitation on Total Period of Service with Certain Academic Titles
   a. clean copy second systemwide review
   b. redline from first systemwide review to second systemwide review
   c. redline from current policy to second systemwide review

4. Proposed Revised Draft APM - 740, Leaves of Absence/Sabbatical Leaves
   a. clean copy second systemwide review
   b. redline from first systemwide review to second systemwide review
   c. redline from current policy to second systemwide review

   a. clean copy
   b. redline from current policy

   a. clean copy
   b. redline from current policy

7. Model Communication

cc: President Napolitano
    Provost and Executive Vice President Brown
    Executive Vice Chancellors/Provosts
    Executive Vice President and Chief of Staff Nava
    Senior Vice President Bustamante
    Vice President Duckett
Vice President Ellis
Vice Provosts/Vice Chancellors of Academic Affairs/Personnel
LSOE Senate-Administration Work Group
Academic Personnel Directors
Deputy General Counsel Woodall
Executive Director Baxter
Executive Director Peterson
Executive Director Chester
Chief of Staff and Director Henderson
Chief of Staff Levintov
Director Lee
Director Grant
Manager Donnelly
Manager Smith
HR Manager Crosson
Policy Analyst Ha
Endnotes are for review purposes only and will not appear on the final issuance. The revisions are based on comments received from the first systemwide review.

APPOINTMENT AND PROMOTION
Security of Employment Series

285-0 Policy¹

a. Lecturer titles that have or lead to security of employment are faculty positions designed to meet the long-term instructional needs of the University that cannot be best fulfilled by an appointee in the professorial series (see APM - 220).

b. A budgeted FTE must be allocated for any full-time appointment in this series. Should the Chancellor approve a less than 100 percent appointment, a portion of a budgeted FTE equal to the percent time appointment must be allocated. See APM - 285-16-b for more information on part-time appointments.

285-4 Definition

a. The Security of Employment (SOE) series is used for appointees who are members of the faculty of an academic or professional college, school, division, department, or program of the University who have instructional and University and public service responsibilities and engage in professional and/or scholarly activities, including creative activities, especially as they relate to instruction and pedagogy. i,ii

¹ Faculty appointed into the SOE series prior to 7/1/19 are eligible to be evaluated under the criteria in effect as of 7/26/02. All other provisions of this policy apply effective 7/1/18.
b. An appointee in this series will regularly carry a heavier load of teaching than
   will appointees in the professorial series.

285-8 Types of Appointments

Acting titles may be used (see APM - 235).

a. Titles in the Security of Employment series are:

   (1) Assistant Lecturer with Potential for Security of Employment. Assistant
       Teaching Professor may be used as a working title. This title is used for
       those that have not yet been conferred security of employment.

   (2) Associate Lecturer with Security of Employment. Associate Teaching
       Professor may be used as a working title.

   (3) Lecturer with Security of Employment. Teaching Professor may be used as
       a working title.

b. An appointment (as distinguished from a promotion or reappointment) occurs
   when a person is employed in one of the three ranks above, if the individual’s
   immediately previous status was:
(1) not in the employ of the University;

(2) in the employ of the University but not with a title in this series; or

(3) in the employ of the University in the same title but at a different campus.

c. A promotion is advancement from one rank to a higher rank within the SOE series.

d. A merit increase is advancement in salary rate and step without change of rank (see APM - 610, Salary Increases).

e. A reappointment is the renewal of an Assistant Lecturer with Potential for Security of Employment appointment immediately following the end date of a previous appointment (i.e., without a break in service). A reappointment may or may not be accompanied by a merit increase.

285-9 Criteria

a. A candidate for appointment, reappointment, merit increase, or promotion in this series shall be evaluated by the following three criteria:
(1) Teaching excellence

(2) Professional and/or scholarly achievement and activity, including creative activity

(3) University and public service

These criteria are further explained in APM - 210-3, *Instructions to Review Committees That Advise on Actions Concerning the Security of Employment (SOE) Series.*

b. Change of series

In cases when there has been a review of an Assistant Professor and the Chancellor has decided not to continue the individual’s appointment in the professorial series, the individual may not subsequently be appointed on any campus to the SOE series (or certain other titles) for a period of five years. (see APM - 133-0-a(3).)

Upon the recommendation of the department, and consistent with campus academic review processes, the Chancellor may appoint an Associate Professor or Professor to the Associate Lecturer with Security of Employment or Lecturer
with Security of Employment title. This change of series requires the written consent of the faculty member.

An appointee in the Security of Employment series may have a change of series into the professorial series, consistent with campus academic review processes and only upon satisfying recruitment compliance.\textsuperscript{vi}

285-16  Restrictions

The following restrictions apply to the use of titles in this series:

a. In order to maintain the University’s mission for an appropriate balance between education, research, and service, the Chancellor, in consultation with the Academic Senate, may establish a cap on the number of appointments in this series. If so desired, a cap may be set for each school or department.\textsuperscript{vii}

b. Normally, an appointment to this series is for 100 percent service to the University.

c. Security of employment may be granted only for an appointment at 51 percent or more time unless the Chancellor, whose authority may not be redelegated, approves the appointment by special exception.
d. An initial appointment at less than 100 percent but 51 percent or more time with a title in this series, or a subsequent permanent reduction in the percent time of an appointment, may be authorized under exceptional circumstances, provided the Chancellor specifically approves the arrangement as being in the best interests of the University based on the particular situation.

A memorandum of understanding between the Chancellor and the part-time appointee shall be signed by both parties, to clarify the following:

(1) There are no implied rights to current or future full-time security of employment and the only security of employment granted with this appointment is at the agreed upon percentage; and

(2) Workload expectations are based on the specified percentage of time of the appointment.

e. Appointment and advancement of a part-time appointee shall depend on the quality of performance in teaching excellence, professional and/or scholarly achievement and activity, and service; expectations for the quantity of performance shall depend on the percentage of time of the appointment. In all cases, when an appointee is considering a part-time appointment, or a temporary
reduction in the percentage of time of an appointment, the terms of the appointment and the expectations for productivity shall be discussed by the dean, department chair, and the appointee at the outset and documented in a memorandum of understanding for advancement.

f. When there has been a review of an Assistant Lecturer with Potential for Security of Employment, Assistant Professor in the Professorial series, Assistant Professor in Residence, or Assistant Professor of Clinical (e.g., Medicine) and the Chancellor has decided not to continue the individual’s appointment in that series, the individual may not be appointed on any campus to certain faculty titles for a period of five years as set forth in APM - 133, Appendix A and also APM - 133-0-a(3) and b(3).

285-17 Terms of Service

a. Assistant Lecturer with Potential for Security of Employment

(1) Term of Appointment

Each appointment and reappointment is limited to a maximum term of two years with a specific end date. The total University service with this title in combination with certain other titles may not exceed eight years, in accordance with APM - 133-0-b.
(2) Appointment for Less Than Two Years

The appointment or reappointment of an Assistant Lecturer with Potential for Security of Employment may be for a period of less than two years only under the following circumstances:

(a) An appointment or reappointment with an effective date other than July 1st shall end typically on the second June 30th following the appointment or reappointment.

(b) A promotion or merit increase may become effective on July 1st before the end of a two-year term, but such advancement shall mark the beginning of a new term of appointment.

(c) Consistent with the eight-year limit, a terminal appointment for an Assistant Lecturer with Potential for Security of Employment may be for a period of less than two years provided adequate notice has been given, per APM - 285-17-a(4).

(3) Advancement
An appointee holding the title Assistant Lecturer with Potential for Security of Employment is eligible for reappointment, merit increase, and promotion based on careful reviews of the appointee’s progress and achievement.

(4) Notice for Non-Renewal of Appointment

When an appointment as an Assistant Lecturer with Potential for Security of Employment is not to be renewed, written notice shall be given by the Chancellor prior to the expiration date in accordance with the schedule below.

(a) With less than one year of service as an Assistant Lecturer with Potential for Security of Employment by the end of the current period of appointment: at least a four-month notice.

(b) With at least one complete year of service and not more than two years of service as an Assistant Lecturer with Potential for Security of Employment by the end of the current period of appointment: at least a six-month notice.
(c) With more than two years of service as an Assistant Lecturer with Potential for Security of Employment by the end of the current period of appointment: at least a twelve-month notice.

(5) Termination Before the End of the Appointment Period

(a) Assistant Lecturer with Potential for Security of Employment

Termination of the appointment of an Assistant Lecturer with Potential for Security of Employment before the expiration of the appointment shall be only for good cause, after the opportunity for a hearing before the properly constituted advisory committee of the Academic Senate, in accordance with Regents’ Standing Order 103.10 and Senate Bylaws 336 or 337.

(b) Associate Lecturer with Security of Employment and Lecturer with Security of Employment

All appointments and promotions to the ranks of Associate Lecturer with Security of Employment and Lecturer with Security of Employment shall continue until terminated by resignation, retirement, or dismissal. An appointment with security of employment shall not be terminated except for good cause after the opportunity for a hearing before the properly constituted
advisory committee of the Academic Senate, in accordance with Regents’

Standing Order 103.10 and Senate Bylaws 336 or 337.viii

285-18 **Salary**

The Office of the President publishes a salary scale for this series. The Security of Employment series will be paid on the same salary scale as the professorial series.ix

The Chancellor, after appropriate review, has authority to approve salaries up to and including the Indexed Compensation Level (ICL).

The Provost and Executive Vice President for Academic Affairs has authority to approve salaries exceeding the ICL threshold. See APM - 220-85-d.

285-19 **Normal Periods of Service**

The normal periods of service at rank and step in this series are shown in the published salary scales and are described below. Although these time periods indicate the usual intervals between advancements, they do not preclude more rapid advancement in the case of exceptional merit or more gradual advancement when warranted and if unrelated to an Assistant Lecturer with Potential for Security of Employment’s eight-year limitation. Personnel reviews that are deferred due to
stopping the clock for reasons as defined in APM - 133-17-g, -h, and-i or a family accommodation as defined in APM - 760 should be treated procedurally in the same manner as personnel reviews conducted at the usual intervals. All evidence produced during the probationary period, including the period of the extension, counts in the evaluation of the candidate’s review file. The file shall be evaluated without prejudice, without regard to the length of service at the assistant rank, and so stated in the department chair’s letter.

(1) For an Assistant Lecturer with Potential for Security of Employment, the total period of University service in the title Assistant Lecturer with Potential for Security of Employment or in this and certain other titles (see APM - 133-0) shall not exceed eight years, except as provided in APM - 133-12. The normal period of service at a given step in this rank is two years.

(2) For an Associate Lecturer with Security of Employment, the normal period of service in the Associate rank is six years. The normal period of service at step is two years in each of the first three steps. Service at Steps IV and V is three years in each step.

(3) For a Lecturer with Security of Employment, the normal period of service at step is three years in each of the first four steps. Service at Step V and
above may be of indefinite duration. Advancement to Step VI usually will not occur after less than three years of service at Step V. This involves an overall career review and will be granted on evidence of sustained and continuing excellence in each of the following three categories: (1) effectiveness and excellence in teaching; (2) professional and/or scholarly achievement and activity; and (3) University and public service.

Advancement from Step VI to Step VII, from Step VII to Step VIII, and from Step VIII to Step IX, will usually not occur before three years, and will only be granted on evidence of continuing achievement at the level required for advancement to Step VI.

Lecturers in the SOE series who are on the Law School scale are subject to the same criteria as the professorial series.

Advancement to an Above-Scale rank involves an overall career review and is reserved only for the most highly accomplished faculty (1) whose work of sustained and continuing excellence has attained national or international recognition and broad acclaim reflective of its significant impact on education within the discipline; (2) whose contributions to University teaching and education outcomes are excellent; and (3) whose service is highly meritorious. Except in rare and compelling cases, advancement will not occur after less than four years at Step IX. Moreover,
mere length of service and continued good performance at Step IX are not justification for further merit advancement. There must be demonstration of additional merit and distinction beyond the performance on which advancement to Step IX was based. A merit advancement for a candidate already serving at above-scale must be justified by continuing evidence of accomplishment commensurate with this level. Continued good service is not an adequate justification. Intervals between such merit advances may be indefinite, and only in the most superior cases where there is strong and compelling evidence will advances at intervals shorter than four years be approved.

285-20 **Conditions of Employment**

a. Assistant Lecturer with Potential for Security of Employment, Associate Lecturer with Security of Employment, and Lecturer with Security of Employment are members of the Academic Senate, per Regents’ Standing Order 105.1(a).\(^{xi}\)

b. An appointee to this series may be assigned to teach courses at any level.

c. An appointee with a title in this series may be eligible to apply for sabbatical leave (see APM - 740).
Authority

Authority to approve appointments, reappointments, merit increases, and promotions to titles in this series are as follows:

a. Assistant Lecturer with Potential for Security of Employment
   The Chancellor, after appropriate review (see APM - 220-82).

b. Associate Lecturer with Security of Employment
   The Chancellor, after appropriate review (see APM - 220-85).

c. Lecturer with Security of Employment
   The Chancellor, after appropriate review (see APM - 220-85).

Review Procedures

The Chancellor, in consultation with the Committee on Academic Personnel, may develop local review procedures for the Security of Employment series. Campus procedures for review and advancement shall be modeled on the general pattern of the review process for members of the professorial series (see APM - 220-80 and APM - 210-3).
285-95 **Letters of Invitation and Notification**

See APM - 220-95 for model language. The term “security of employment” shall be substituted for the term “tenure.”

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1 Performance specifications of this series have been revised from the title of *professional and scholarly achievement and activity* to the title of *professional and/or scholarly achievement and activity*. Creative activities are defined as a part of *professional and/or scholarly achievement and activity*.

2 Changed proposed series title from Teaching Professor Series to Security of Employment Series.

3 Pending revision to APM - 235: adding acting titles to the list of eligible titles and revising criteria to coincide with SOE series requirements.

4 Official series title is Security of Employment; however, Teaching Professor may be used as a working title.

5 Removed innovation as a requirement added in first revision.

6 Previous versions were silent on change of series from the SOE series to the professorial series.

7 Changed quota to cap; clarifying that the Chancellor, in consultation with the Academic Senate, can limit the number of faculty hired into this series and removing the ambiguity regarding establishing a minimum number.

8 Added reference to Bylaw 336.

9 Salary scale for the SOE series will be the same as the professorial series, including B/E, Law, and Veterinary Medicine scales.

10 Removed requirement added in first revision: “Above and beyond that, great distinction, recognized nationally, will be required in contributions to pedagogy and/or scholarly or professional achievement.”

11 Pending revision to Regents’ Standing Order 105.1.a to remove the full-time limitation for the SOE series.
285-0  Policy

a. The Teaching Professor Lecturer titles that have or lead to security of employment are faculty positions designed to meet the long-term instructional needs of the University that cannot be best fulfilled by an appointee in the ladder-rank professorial series (see APM - 220).

b. The Teaching Professor series replaces the Lecturer with Security of Employment series. Regents’ policies and policies within the Academic Personnel Manual that use the Lecturer with Security of Employment series name and titles are understood to apply to the newly named Teaching Professor series and titles.

cb. A budgeted FTE must be allocated for any full-time appointment in this series. Should the Chancellor approve a less than 100 percent appointment, a portion of a budgeted FTE equal to the percent time appointment must be allocated. See APM - 285-16-b for more information on part-time appointments.

285-4  Definition

a. The Teaching Professor Security of Employment (SOE) series is used for appointees who are members of the faculty of an academic or professional college, school, division, department, or program of the University who have instructional and University and public service responsibilities and engage in...
professional and/or scholarly activities, including creative activities, especially as they relate to instruction and pedagogy.

b. An appointee in this series will regularly carry a heavier load of teaching than will appointees in the professorial series.

285-8 **Titles Types of Appointments**

Acting titles may be used (see APM - 235).

a. Titles in the Teaching Professor Security of Employment series are:

(1) Assistant Teaching Professor Lecturer with Potential for Security of Employment. Assistant Teaching Professor may be used as a working title. This title is used for those that have not yet been conferred security of employment.

(2) Associate Teaching Professor Lecturer with Security of Employment. Associate Teaching Professor may be used as a working title.

(3) Teaching Professor Lecturer with Security of Employment. Teaching Professor may be used as a working title.
b. An appointment (as distinguished from a promotion or reappointment) occurs when a person is employed in one of the three ranks above, if the individual’s immediately previous status was:

(1) not in the employ of the University;

(2) in the employ of the University but not with a title in this series; or

(3) in the employ of the University in the same title but at a different campus.

c. A promotion is advancement from one rank to a higher rank within the Teaching Professor SOE series.

d. A merit increase is advancement in salary rate and step without change of rank (see APM - 610, Salary Increases).

d-e. A reappointment is the renewal of an Assistant Teaching Professor Lecturer with Potential for Security of Employment appointment immediately following the end date of a previous appointment (i.e., without a break in service). A reappointment may or may not be accompanied by a merit increase.

285-10 Criteria
A candidate for appointment, reappointment, merit increase, or promotion in this series shall be evaluated by the following three criteria:

1. Teaching excellence and innovation

2. Professional and/or scholarly achievement and activity, including creative activity

3. University and public service

These criteria are further explained in APM - 210-3, Instructions to Review Committees That Advise on Actions Concerning the Teaching Professor Security of Employment (SOE) Series.

b. Change of series from the Professor series to the Teaching Professor series

In cases when there has been a review of an Assistant Professor and the Chancellor has decided not to continue the individual’s appointment in the professorial series, the individual may not subsequently be appointed on any campus to the Teaching Professor SOE series (or certain other titles) for a period of five years. (See APM - 133-0-a(3).)
Upon the recommendation of the department, and consistent with campus academic review processes, the Chancellor may appoint an Associate Professor or Professor to the Associate Teaching Professor Lecturer with Security of Employment or Teaching Professor Lecturer with Security of Employment title. This change of series requires the written consent of the faculty member.

An appointee in the Security of Employment series may have a change of series into the professorial series, consistent with campus academic review processes and only upon satisfying recruitment compliance.

285-16 Restrictions

The following restrictions apply to the use of titles in this series:

a. In order to maintain the University’s mission for an appropriate balance between education, research, and service, the Chancellor, in consultation with the Academic Senate, may establish a quota cap on the number of appointments in this series. If so desired, a quota cap may be set for each school or department.

b. Normally, an appointment to this series is for 100 percent service to the University.

c. Security of employment may be granted only for an appointment at 51 percent or more time unless the Chancellor, whose authority may not be redelegated,
approves the appointment by special exception.

d. An initial appointment at less than 100 percent and more than but 51 percent or more time with a title in this series, or a subsequent permanent reduction in the percent time of an appointment, may be authorized under exceptional circumstances, provided the Chancellor specifically approves the arrangement as being in the best interests of the University based on the particular situation.

A memorandum of understanding between the Chancellor and the part-time appointee shall be signed by both parties, to clarify the following:

(1) An appointment of 51 percent or more time carries membership in the Academic Senate (see Regents Standing Order 105.1);

(2) There are no implied rights to current or future full-time security of employment and the only security of employment granted with this appointment is at the agreed upon percentage; and

(3) Workload expectations are based on the specified percentage of time of the appointment.

e. Appointment and advancement of a part-time appointee shall depend on the quality of performance in teaching excellence and innovation, professional and/or scholarly achievement and activity, and service; expectations for the quantity of performance shall depend on the percentage of time of the
appointment. In all cases, when an appointee is considering a part-time appointment, or a temporary reduction in the percentage of time of an appointment, the terms of the appointment and the expectations for productivity shall be discussed by the dean, department chair, and the appointee at the outset and documented in a memorandum of understanding for advancement.

f. When there has been a review of an Assistant Lecturer with Potential for Security of Employment, Assistant Professor in the Professorial series, Assistant Professor in Residence, or Assistant Professor of Clinical (e.g. Medicine) or Assistant Teaching Professor and the Chancellor has decided not to continue the individual’s appointment in that series, the individual may not be appointed on any campus to certain faculty titles for a period of five years as set forth in APM - 133, Appendix A and also APM - 133-0-a(3) and b(3).

285-17 Terms of Service—Appointment Review

a. Assistant Teaching Professor, Lecturer with Potential for Security of Employment

(1) Term of Appointment

Each appointment and reappointment is limited to a maximum term of two years with a specific end date. The total University service with this title in
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combination with certain other titles may not exceed eight years, in accordance with APM - 133-0-b.

(2) Appointment for Less Than Two Years

The appointment or reappointment of an Assistant Teaching Professor Lecturer with Potential for Security of Employment may be for a period of less than two years only under the following circumstances:

(a) An appointment or reappointment with an effective date other than July 1 shall end typically on the second June 30th following the appointment or reappointment.

(b) A promotion or merit increase may become effective on July 1 before the end of a two-year term, but such advancement shall mark the beginning of a new term of appointment.

(c) Consistent with the eight-year limit, a terminal appointment for an Assistant Teaching Professor Lecturer with Potential for Security of Employment may be for a period of less than two years provided adequate notice has been given, per APM - 285-17-a(45).
(3) Advancement

An appointee holding the title Assistant Teaching Professor Lecturer with Potential for Security of Employment is eligible for reappointment, merit increase, and promotion based on careful reviews of the appointee’s progress and achievement.

(4) Notice for Non-Renewal of Appointment

When an appointment as an Assistant Teaching Professor Lecturer with Potential for Security of Employment is not to be renewed, written notice shall be given by the Chancellor prior to the expiration date in accordance with the schedule below.

(a) With less than one year of service as an Assistant Teaching Professor Lecturer with Potential for Security of Employment by the end of the current period of appointment: at least a four-month notice.

(b) With at least one complete year of service and not more than two years of service as an Assistant Teaching Professor Lecturer with Potential for Security of Employment by the end of the current period of appointment: at least a six-month notice.
(c) With more than two years of service as an Assistant Teaching Professor, Lecturer with Potential for Security of Employment by the end of the current period of appointment: at least a twelve-month notice.

(5) Termination Before the End of the Appointment Period

(a) Termination of the appointment of an Assistant Teaching Professor, Lecturer with Potential for Security of Employment before the expiration of the appointment shall be only for good cause, after the opportunity for a hearing before the properly constituted advisory committee of the Academic Senate, in accordance with Regents’ Standing Order 103.10 and Senate Bylaws 336 or 337.

(b) Associate Teaching Professor, Lecturer with Security of Employment and Teaching Professor, Lecturer with Security of Employment

All appointments and promotions to the ranks of Associate Teaching Professor, Lecturer with Security of Employment and Teaching Professor, Lecturer with Security of Employment shall continue until terminated by resignation, retirement, or dismissal. An appointment with security of employment shall not be terminated except for good cause after the opportunity for a hearing before the properly constituted advisory committee of the Academic Senate per Regents’ Standing Order 103.10 and in accordance with...
Redline from first systemwide review to second systemwide review

285-18 **Salary**

The Office of the President publishes a salary scale for this series. The Security of Employment series will be paid on the same salary scale as the professorial series.

The Chancellor, after appropriate review, has authority to approve salaries up to and including the Indexed Compensation Level (ICL).

The Provost and Executive Vice President for Academic Affairs has authority to approve salaries exceeding the ICL threshold. See APM - 220-85-d.

285-19 **Normal Periods of Service**

a. Normal Periods of Service

The normal periods of service at rank and step in this series are shown in the published salary scales and are described below. Although these time periods indicate the usual intervals between advancements, they do not preclude more rapid advancement in the case of exceptional merit or more gradual advancement when warranted and if unrelated to an Assistant Teaching Professor Lecturer with Security of Employment’s eight-year limitation. Personnel reviews that are deferred due to stopping the clock for reasons as defined in APM - 133-17-g, -h,
and-i or a family accommodation as defined in APM - 760 should be treated procedurally in the same manner as personnel reviews conducted at the usual intervals. All evidence produced during the probationary period, including the period of the extension, counts in the evaluation of the candidate’s review file. The file shall be evaluated without prejudice, without regard to the length of service at the assistant rank, and so stated in the department chair’s letter.

1. For an Assistant Teaching Professor Lecturer with Potential for Security of Employment, the total period of University service in the title Assistant Teaching Professor Lecturer with Potential for Security of Employment or in this and certain other titles (see APM - 133-0) shall not exceed eight years, except as provided in APM - 133-12. The normal period of service at a given step in this rank is two years.

2. For an Associate Teaching Professor Lecturer with Security of Employment, the normal period of service in the Associate rank is six years. The normal period of service at step is two years in each of the first three steps. Service at Steps IV and V is three years in each step.

3. For a Teaching Professor Lecturer with Security of Employment, the normal period of service at step is three years in each of the first four steps. Service at Step V and above may be of indefinite duration. Advancement to Step VI usually will not occur after less than three years of service at Step V. This
involves an overall career review and will be granted on evidence of sustained and continuing excellence in each of the following three categories: (1) effectiveness and innovative excellence in teaching; (2) professional and/or scholarly achievement and activity; and (3) University and public service. Above and beyond that, great distinction, recognized nationally, will be required in contributions to pedagogy and/or scholarly or professional achievement. Advancement from Step VI to Step VII, from Step VII to Step VIII, and from Step VIII to Step IX, will usually not occur before three years, and will only be granted on evidence of continuing achievement at the level required for advancement to Step VI.

Lecturers in the SOE series who are on the Law School scale are subject to the same criteria as the professorial series.

Advancement to an Above-Scale rank involves an overall career review and is reserved only for the most highly distinguished accomplished faculty (1) whose work of sustained and continuing excellence has attained national or international recognition and broad acclaim reflective of its significant impact on education within the discipline; (2) whose contributions to University teaching and education outcomes are excellent and innovative; and (3) whose service is highly meritorious. Except in rare and compelling cases, advancement will not occur after less than four years at Step IX. Moreover, mere length of service and continued good performance at Step
IX are not justification for further merit advancement. There must be
demonstration of additional merit and distinction beyond the performance
on which advancement to Step IX was based. A merit advancement for a
candidate already serving at above-scale must be justified by continuing
evidence of accomplishment commensurate with this level. Continued good
service is not an adequate justification. Intervals between such merit
advances may be indefinite, and only in the most superior cases where there
is strong and compelling evidence will advances at intervals shorter than
four years be approved.

285-20 **Conditions of Employment**

a. **Full-time (51 percent or greater)**-Assistant Teaching Professors, Lecturer with
   Potential for Security of Employment, Associate Teaching Professors, Lecturer
   with Security of Employment, and Teaching Professors, Lecturer with Security
   of Employment are members of the Academic Senate, per Regents’ Standing
   Order 105.1(a).

   b. **Part-time (less than 51 percent)**-Assistant Teaching Professors, Associate
      Teaching Professors, and Teaching Professors are not members of the
      Academic Senate.

   e-b. An appointee to this series may be assigned to teach courses at any level.
cd. An appointee with a title in this series is may be eligible to apply for sabbatical leave (see APM - 740).

285-24 Authority

Authority to approve appointments, reappointments, merit increases, and promotions to titles in this series are as follows:

a. Assistant Teaching Professor Lecturer with Potential for Security of Employment

The Chancellor, after appropriate review (see APM - 220-82).

b. Associate Teaching Professor Lecturer with Security of Employment

The Chancellor, after appropriate review (see APM - 220-85).

c. Teaching Professor Lecturer with Security of Employment

The Chancellor, after appropriate review (see APM - 220-85).

d. The Chancellor, after appropriate review, has authority to approve salaries up to and including the Indexed Compensation Level (ICL).

e. The Provost and Executive Vice President for Academic Affairs has authority to approve salaries exceeding the ICL threshold. See APM - 220-85.
285-80 **Review Procedures**

The Chancellor, in consultation with the Committee on Academic Personnel, may develop local review procedures for the Teaching Professor Security of Employment series. Campus procedures for review and advancement shall be modeled on the general pattern of the review process for members of the ladder-rank professorial series (see APM - 220-80 and APM - 210-3).

285-95 **Letters of Invitation and Notification**

See APM - 220-95 for model language. The term “security of employment” shall be substituted for the term “tenure.”
285-0  Policy

a. Lecturer titles which have or lead to security of employment are faculty positions designed to meet the long-term instructional needs of the University which cannot be best fulfilled by an appointee in the regular professorial Series (see APM - 220).

b. A budgeted FTE must be allocated for any full-time appointee appointment in this series. Should the Chancellor approve a less than 100 percent appointment, a portion of a budgeted FTE equal to the percent time appointment must be allocated. See APM - 285-16-b for more information on part-time appointments.

285-4  Definition

a. These titles are assigned to individuals who engage in teaching, professional activities. The Security of Employment series is used for appointees who are members of the faculty of an academic or professional college, school, division, department, or program of the University who have instructional and University and public service responsibilities and engage in professional and/or scholarly activities, including creative activities, especially as they relate to instruction and pedagogy.
b. The Lecturer with Security of Employment series should not be confused with Regents’ Lecturer (see APM – 290), or with Lecturer and Senior Lecturer (see Memorandum of Understanding: Non-Senate Instructional Unit).

c. An appointee in this series will regularly carry a heavier load of teaching than will appointees in the professorial series.

285-8 **Titles Types of Appointments**

Acting titles may be used (see APM - 235).

a. Titles in the Lecturer with Security of Employment series are:

(1) **Assistant Lecturer with Potential for Security of Employment**

    *(PSOE)* Assistant Teaching Professor may be used as a working title. This title is used for those that have not yet been conferred security of employment.

(2) Senior Lecturer with Potential for Security of Employment *(PSOE)*

(2) **Associate Lecturer with Security of Employment. Associate Teaching Professor** may be used as a working title.
(3) Lecturer with Security of Employment (SOE). Teaching Professor may be used as a working title.

(4) Senior Lecturer with Security of Employment (SOE)

b. An appointment (as distinguished from a promotion or reappointment) occurs when a person is employed in one of the three ranks above, if the individual’s immediately previous status was:

(1) not in the employ of the University;

(2) in the employ of the University but not with a title in this series; or

(3) in the employ of the University in the same title but at a different campus.

c. A promotion is an advancement from one rank to a higher rank within the Lecturer SOE series.

d. A merit increase is advancement in salary rate and step without change of rank (see APM - 610, Salary Increases).

Upon promotion, a Lecturer PSOE becomes a Lecturer SOE, and a Senior Lecturer PSOE becomes a Senior Lecturer SOE. A Lecturer SOE may be
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promoted to Senior Lecturer SOE.

e. A Lecturer PSOE and a Senior Lecturer PSOE have appointments with specific end dates. The term *A reappointment means is* the renewal of an Assistant Lecturer with Potential for Security of Employment appointment immediately following the end date of a previous appointment in this series (i.e., without a break in service). A reappointment may or may not be accompanied by a promotion or a merit increase.

285-940 Criteria

a. A candidate for appointment, reappointment, merit increase, or promotion in this series shall be judged evaluated by achievements in the following areas—teaching, professional three criteria:

(1) Teaching excellence

(2) Professional and/or scholarly achievement and activity, including creative activity

(3) University and public service.
These criteria for examining achievement in these areas are set forth and are further explained in APM - 210-3, Instructions to Review Committees That Which Advise on Actions Concerning the Lecturer with Security of Employment (SOE) Series.

a. The title Senior Lecturer with Potential for Security of Employment (PSOE) or Senior Lecturer with Security of Employment (SOE) may be assigned to an appointee who provides services of exceptional value to the University and whose excellent teaching and professional accomplishments have made him or her a recognized leader in his or her professional field and/or in education.

c. Appointment and advancement of a part-time appointee with a title in this series shall depend on the quality of performance at a level of distinction comparable to that demanded of a full-time appointee; however, when circumstances warrant, a lesser rate of professional achievement and activity will be acceptable. Teaching assignments and departmental, committee, and other service should be in proportion to the percentage of time of the position, but the same quality of performance is expected as for a full-time appointee.

d. Transfer of appointees in the regular professorial series to the Lecturer SOE series.
b. Change of series

(4) In cases when there has been a review of an Assistant Professor and the Chancellor has decided not to continue the individual’s appointment in the professorial series, the individual may not subsequently be appointed on any campus to the Lecturer SOE series (or certain other titles) for a period of five years. (See APM - 133-0-a(3).)

(2) Upon the recommendation of the department, and consistent with campus academic review processes and following regular academic review, the Chancellor may appoint an Associate Professor or Professor to the Associate Lecturer with Security of Employment or Senior Lecturer with Security of Employment title. This transfer-change of series requires the written consent of the faculty member.

e. When there has been a review of a Lecturer PSOE or Senior Lecturer PSOE and the Chancellor has decided not to continue the individual’s appointment in that series, the individual may not subsequently be appointed on any campus to certain faculty titles for a period of five years. For a list of these faculty titles, see APM –133, Appendix A. (See also APM –133-0-b(3)).

An appointee in the Security of Employment series may have a change of series into the professorial series, consistent with campus academic review processes and only upon satisfying recruitment compliance.
The following restrictions apply to the use of titles in this series:

a. In order to maintain the University’s mission for an appropriate balance between education, research, and service, the Chancellor, in consultation with the Academic Senate, may establish a cap on the number of appointments in this series. If so desired, a cap may be set for each school or department.

b. Normally an appointment to this series is for full-time 100 percent service to the University.

c. Security of employment may be granted only for an appointment at 51 percent or more than half-time unless the Chancellor, whose authority may not be redelegated, approves the appointment by special exception. (See Regents’ Standing Order 103.10.)

d. An initial appointment for at less than full-time service 100 percent and more-than but 51 percent or more time with a title in this series, or a subsequent permanent reduction in the percent time of an appointment, may be authorized under appropriate exceptional circumstances, provided the Chancellor specifically approves the arrangement as being in the best interests of the University based on the particular situation. Ordinarily, such part-time...
appointments will be limited to cases in which the appointee’s professional commitment is to the University. In the rare case that a part-time appointee has a professional commitment other than to the University, the Chancellor must be assured that—

e.— A memorandum of understanding between the Chancellor and the part-time appointee will be able to fulfill all the obligations entailed in the University appointment shall be signed by both parties, to clarify the following:

In the future, the Chancellor is not obligated to increase the percentage of any part-time appointment, even if the appointee and/or the department request such an increase.

The appointee shall execute a written agreement that the security of employment status and other conditions of the appointment as described below are limited to the specified percentage of time. The agreement shall be set forth in a letter from the Chancellor advising the individual that the part-time appointment does not imply any future right to a full-time appointment with security of employment. The letter should also state that the rate at which credit for University service accrues for University retirement benefits may likewise be affected. The individual must sign and return a copy of such letter to indicate consent.
(1) There are no implied rights to current or future full-time security of employment and the only security of employment granted with this appointment is at the agreed upon percentage; and

(2) A voluntary permanent reduction in workload expectations are based on the specified percentage of time of the appointment shall be subject to the same restrictions as stipulated above for an initial part-time appointment.

c. Promotions, merit increases, and reappointments may be made only within the limits of supporting funds.

e. Appointment and advancement of a part-time appointee shall depend on the quality of performance in teaching excellence, professional and/or scholarly achievement and activity, and service; expectations for the quantity of performance shall depend on the percentage of time of the appointment. In all cases, when an appointee is considering a part-time appointment, or a temporary reduction in the percentage of time of an appointment, the terms of the appointment and the expectations for productivity shall be discussed by the dean, department chair, and the appointee at the outset and documented in a memorandum of understanding for advancement.

f. When there has been a review of an Assistant Lecturer with Potential for Security of Employment, Assistant Professor in the Professorial series, Assistant
Professor in Residence, or Assistant Professor of Clinical (e.g., Medicine) and the Chancellor has decided not to continue the individual’s appointment in that series, the individual may not be appointed on any campus to certain faculty titles for a period of five years as set forth in APM - 133, Appendix A and also APM - 133-0-a(3) and b(3).

c. A registered student or candidate for a higher degree at the University of California is not eligible for appointment to a title in this series.

285-17 Terms of Service Appointment Review

a. Assistant Lecturer with Potential for Security of Employment (PSOE) and Senior Lecturer with Potential Security of Employment (PSOE)

(1) Term of Appointment

Each appointment and reappointment is limited to a maximum term of two years with a specific end date. The total University service with this and title in combination with certain other titles may not exceed eight years, in accordance with APM - 133-0-b, and Regents’ Standing Order 103.10 which provides: “...a Lecturer--Potential Security of Employment or Senior Lecturer--Potential Security of Employment appointed at more than half time who has completed eight years of service in that title, or in that..."
title in combination with other titles as established by the President, shall not be continued in that title after the eighth year unless given appointment with security of employment.”

(2) Effective Date of Appointment

Rules concerning the effective date of appointments shall be as stipulated in APM – 200-17; normally an appointment period will coincide with the University’s fiscal year of July 1 through June 30.

(23) Appointment for Less Than Two Years

The appointment or reappointment of an Assistant Lecturer with Potential for Security of Employment or Senior Lecturer PSOE may be for a period of less than two years only under the following circumstances:

(a) An appointment or reappointment with an effective date other than July 1st shall end normally-typically on the second June 30th following the appointment or reappointment.

(b) A promotion or merit increase may become effective on July 1st before the end of a two-year term, but such advancement shall mark the beginning of a new term of appointment.
(c) **A**Consistent with the eight-year limit, a terminal appointment for an **Assistant Lecturer with Potential for Security of Employment or Senior Lecturer PSOE** may be for a period of less than two years provided adequate notice has been given, as stipulated in APM - 285-17-a(45).

(3) **Advancement**

An appointee holding the title **Assistant Lecturer with Potential for Security of Employment or Senior Lecturer PSOE** is eligible for reappointment, merit increase, and promotion. Decisions about reappointment, merit increase, and promotion of the appointee are based on careful reviews of the appointee’s progress, promise, and achievement, and may be affected by fiscal and programmatic considerations.

(5) (4) **Notice for Non-Renewal of Appointment**

When an appointment as an **Assistant Lecturer with Potential for Security of Employment or Senior Lecturer PSOE** is not to be renewed, written notice shall be given by the Chancellor in advance of **prior** to the expiration date in accordance with the schedule below. **Pay in lieu of notice may be authorized by the Chancellor.**
(a) With less than one year of service as an Assistant Lecturer with Potential for Security of Employment or Senior Lecturer PSOE by the end of the current period of appointment: at least a four-month notice.

(b) With at least one complete year of service and not more than two years of service as an Assistant Lecturer with Potential for Security of Employment or Senior Lecturer PSOE by the end of the current period of appointment: at least a six-month notice.

(c) With more than two years of service as an Assistant Lecturer with Potential for Security of Employment or Senior Lecturer PSOE by the end of the current period of appointment: at least a twelve-month notice.
Termination Before the End of the Appointment Period

(a) Assistant Lecturer with Potential for Security of Employment

Termination of the appointment of an Assistant Lecturer with Potential for Security of Employment or Senior Lecturer PSOE before the expiration of the appointment shall be only for good cause, after the opportunity for a hearing before the properly constituted advisory committee of the Academic Senate, in accordance with Regents’ Standing Order 103.10 and Senate Bylaws 336 or 337.

(b) Associate Lecturer with Security of Employment (SOE) and Senior Lecturer with Security of Employment (SOE)

All appointments and promotions to the ranks of Associate Lecturer with Security of Employment and Senior Lecturer with Security of Employment are continuous until terminated by resignation, retirement, or dismissal. A Senior Lecturer SOE may be demoted to Lecturer SOE. "An appointment with security of employment shall not be terminated except for good cause after the opportunity for a hearing before the properly constituted advisory committee of the Academic Senate, in accordance with (Regents’ Standing Order 103.10 and Senate Bylaws 336 or 337)."
285-18 Salary

The Office of the President publishes a salary range scale for this series. The rate of advancement may be more variable, and in many cases slower, than for professorial positions. The Security of Employment series will be paid on the same salary scale as the professorial series.

The Chancellor, after appropriate review, has authority to approve salaries up to and including the Indexed Compensation Level (ICL).

The Provost and Executive Vice President for Academic Affairs has authority to approve salaries exceeding the ICL threshold. See APM - 220-85-d.

285-19 Normal Periods of Service

For a Lecturer SOE, the normal period of service before review for advancement for a merit increase is three years. The period of service in the rank of Lecturer SOE may be of indefinite duration. Promotion to Senior Lecturer SOE is not normally expected, but may occur when warranted. Review for promotion to the Senior Lecturer SOE title will normally occur only after a minimum of six years in the title of Lecturer SOE. —
Senior Lecturer SOE titles should be paid at a level no less than Professor, Step I. Normally, an appointee shall be reviewed every three years for a merit increase, until the salary is equivalent to that of Professor Step V. Service at that level and higher may be of indefinite duration, and review for advancement will not usually occur after less than four years. Senior Lecturers SOE of the highest distinction, whose work has been internationally acclaimed, are eligible for salaries above the top of the range.

The normal periods of service at rank and step in this series are shown in the published salary scales and are described below. Although these time periods indicate the usual intervals between advancements, they do not preclude more rapid advancement in the case of exceptional merit or more gradual advancement when warranted and if unrelated to an Assistant Lecturer with Potential for Security of Employment’s eight-year limitation. Personnel reviews that are deferred due to stopping the clock for reasons as defined in APM - 133-17-g, -h, and-i or a family accommodation as defined in APM - 760 should be treated procedurally in the same manner as personnel reviews conducted at the usual intervals. All evidence produced during the probationary period, including the period of the extension, counts in the evaluation of the candidate’s review file. The file shall be evaluated without prejudice, without regard to the length of service at the assistant rank, and so stated in the department chair’s letter.
(1) For an Assistant Lecturer with Potential for Security of Employment, the total period of University service in the title Assistant Lecturer with Potential for Security of Employment or in this and certain other titles (see APM - 133-0) shall not exceed eight years, except as provided in APM - 133-12. The normal period of service at a given step in this rank is two years.

(2) For an Associate Lecturer with Security of Employment, the normal period of service in the Associate rank is six years. The normal period of service at step is two years in each of the first three steps. Service at Steps IV and V is three years in each step.

(3) For a Lecturer with Security of Employment, the normal period of service at step is three years in each of the first four steps. Service at Step V and above may be of indefinite duration. Advancement to Step VI usually will not occur after less than three years of service at Step V. This involves an overall career review and will be granted on evidence of sustained and continuing excellence in each of the following three categories: (1) effectiveness and excellence in teaching; (2) professional and/or scholarly achievement and activity; and (3) University and public service.

Advancement from Step VI to Step VII, from Step VII to Step VIII, and from Step VIII to Step IX, will usually not occur before three years, and
will only be granted on evidence of continuing achievement at the level required for advancement to Step VI.

Lecturers in the SOE series who are on the Law School scale are subject to the same criteria as the professorial series.

Advancement to an Above-Scale rank involves an overall career review and is reserved only for the most highly accomplished faculty (1) whose work of sustained and continuing excellence has attained national or international recognition and broad acclaim reflective of its significant impact on education within the discipline; (2) whose contributions to University teaching and education outcomes are excellent; and (3) whose service is highly meritorious. Except in rare and compelling cases, advancement will not occur after less than four years at Step IX. Moreover, mere length of service and continued good performance at Step IX are not justification for further merit advancement. There must be demonstration of additional merit and distinction beyond the performance on which advancement to Step IX was based. A merit advancement for a candidate already serving at above-scale must be justified by continuing evidence of accomplishment commensurate with this level. Continued good service is not an adequate justification. Intervals between such merit advances may be indefinite, and only in the most superior cases where there is strong and
compelling evidence will advances at intervals shorter than four years be approved.

285-20 Conditions of Employment

a. Part-time Lecturers PSOE and part-time Senior Lecturers PSOE are not members of the Academic Senate. Full-time Assistant Lecturers with Potential for Security of Employment, and full-time Senior Lecturers PSOE Associate Lecturer with Security of Employment and Lecturer with Security of Employment are members of the Academic Senate, per.

b. Part-time Lecturers SOE and part-time Senior Lecturers SOE are not members of the Academic Senate. Lecturers SOE and Senior Lecturers SOE who are full-time appointees are members of the Academic Senate. (See Regents’ Standing Order 105.1(a.).)

c.-b. An appointee to this series may be assigned to teach courses at any level.

d. Since appointment to a title in this series does not imply the responsibility of engaging in research, an appointee will be assigned a heavier instructional load than that of an appointee in the regular professorial series.
An appointee with a title in this series may be eligible to apply for sabbatical leave. (See APM - 740)

e. An appointee with a title in this series is eligible for leave with pay (APM - 758) or without pay (APM - 759), when the Chancellor determines that the leave is in the interest of the University. The Chancellor may approve a leave of absence with pay for twelve months or less.

285-24 Authority to Approve Appointments, Reappointments, and Promotions

For a general outline, see APM - 220-24 and local campus implementing procedures. Authority to approve appointments, reappointments, merit increases, and promotions to titles in this series are as follows:

a. Assistant Lecturer with Potential for Security of Employment

   The Chancellor, after appropriate review (see APM - 220-82).

b. Associate Lecturer with Security of Employment

   The Chancellor, after appropriate review (see APM - 220-85).

c. Lecturer with Security of Employment

   The Chancellor, after appropriate review (see APM - 220-85).
285-80 **Review Procedures**

The Chancellor, in consultation with the Committee on Academic Personnel, may develop local review procedures for the Lecturer with Security of Employment series. Campus procedures for review and advancement shall be modeled on the general pattern of the review process for members of the professorial series. See APM - 220-80, and 220-82 through 220-85 APM - 210-3.

285-95 **Letters of Invitation and Notification**

See APM - 220-95 for model language. The term “security of employment” shall be substituted for the term “tenure”.

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210-3  **Instructions to Review Committees That Advise on Actions Concerning the Security of Employment Series**

a. The Bylaws of The Regents provide: “No political test shall ever be considered in the appointment and promotion of any faculty member or employee.” This provision is pertinent to every stage in the process of considering appointments and advancements.

b. The policies and procedures set forth above in APM - 210-1-a, -b, -c, and -e shall govern the committee in the confidential conduct of its review and in the preparation of its report. The committee should refer to APM - 285 for policies on the Security of Employment series, including use of the working title Teaching Professor.\(^1\)

c. The review committee shall evaluate the candidate with respect to the proposed rank and duties considering the record of the candidate’s performance in (1) Teaching excellence, (2) Professional and/or scholarly achievement and activity, including creative activity, and (3) University and public service.\(^{ii,iii}\)

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\(^1\) Faculty appointed in the SOE series prior to 7/1/19 are eligible to be evaluated under the criteria in effect as of 7/26/02. All other provisions of this policy apply effective 7/1/18.
Superior intellectual attainment, as evidenced particularly in excellent teaching as well as in professional and/or scholarly achievement and activity, is an indispensable qualification for appointment or promotion to security of employment. This standard for appointees in the Security of Employment series is necessary for maintaining the quality of the University as an institution dedicated to education. The review committee must further evaluate whether the candidate has a record of excellence in teaching while engaging in a program of professional and/or scholarly activity that is appropriate for this series.

When evaluating the candidate’s qualifications within these areas, the review committee shall exercise reasonable flexibility, and balancing, when the case requires, heavier commitments and responsibilities in one area against lighter commitments and responsibilities in another. Consideration should also be given to changes in professional emphasis and interest that may occur in an academic career. The review committees must take exceptional care to apply the criteria with sufficient flexibility. However, flexibility does not entail a relaxation of high standards.

The University of California is committed to excellence and equity in every facet of its mission. Contributions in all areas of faculty achievement that promote equal opportunity and diversity should be given due recognition in
the academic personnel process, and they should be evaluated and credited in the same way as other faculty achievements. These contributions to diversity and equal opportunity can take a variety of forms including efforts to advance equitable access to education, public service that addresses the needs of California’s diverse population, or teaching that is particularly sensitive to diverse populations. Mentoring and advising of students and faculty members, particularly from underrepresented and underserved populations, should be given due recognition in the teaching or service categories of the academic personnel process.

d. The candidate is expected to submit for the review file a presentation of his or her activity in all three areas of teaching excellence, professional and/or scholarly achievement and activity, and University and public service. Evidence may be relevant to evaluation of achievement in more than one category and the review committee will assign the evidence to the appropriate category. Campus guidelines may include separate requirements, expectations, or guidelines for various schools or departments. The criteria set forth below are intended to serve as guides for minimum standards by which to evaluate the candidate, not to set boundaries to exclude other elements of performance that may be considered.
(1) **Teaching Excellence**

Clearly demonstrated evidence of excellent teaching is an essential criterion for appointment, advancement, or promotion. Lecturers in the Security of Employment series are expected to maintain a continuous and current command of their disciplinary subjects while demonstrating the ability to foster an inclusive and stimulating learning environment.

When evaluating the effectiveness of a candidate’s teaching, the committee should consider the following objectives for individuals in this series: display evidence of continuous growth and mastery of the subject field; emphasize the connections between the subject and other fields of study; foster an environment that supports student curiosity, independent evaluation of evidence, and capacity to reason; provide guidance, mentoring, and advising to students, teaching assistants, and other staff and faculty with teaching responsibilities; create an academic environment that facilitates active participation and learning by all students with a focus on developing effective strategies to advance learning by students in various underrepresented groups; contribute to the development and adoption of effective evidence-based pedagogical strategies including instructional units, materials, and resources; incorporate and promote significant curricular revisions informed by current pedagogical knowledge; and apply and advocate for effective teaching techniques.
The committee should attend to the variety of demands placed on the Security of Employment series by the types of teaching called for in various disciplines and at various levels and should evaluate the total performance of the candidate with proper reference to assigned teaching responsibilities. The committee should clearly indicate the sources of evidence on which its appraisal of teaching excellence has been based. In preparing its recommendation, the review committee should keep in mind that the report is an important record of the candidate's teaching and serves as the basis for additional recommendations and the final decision.

It is the responsibility of the department chair to submit meaningful evaluation, accompanied by supporting evidence, of the candidate’s teaching effectiveness.

The following is a broadly defined, non-exclusive list of evidence that may be presented concerning teaching excellence:

(a) Peer review assessments from other faculty members based on knowledge in the candidate’s field; class visitations; attendance at the candidate’s lectures before professional societies or in public; or the performance of students who have studied with the candidate;
(b) Evaluations or comments solicited from students in courses taught since the candidate’s last review;

(c) A term-by-term enumeration of the number and types of courses and tutorials taught since the candidate’s last review including:

(i) the level of courses and tutorials taught;
(ii) the enrollments of courses and tutorials taught;
(iii) the percentage of student course evaluations in relation to the total number of students in each course;
(iv) brief explanations for abnormal course loads;

(d) Identification of any new courses taught or of previously-taught courses for which the candidate has substantially reorganized the approach or content;

(e) Documentation of the introduction of new substantive developments in the field or of new and effective techniques of instruction, including techniques that meet the needs of students from groups that are underrepresented in the field of instruction;
(f) Documentation of success as a positive role model or effective mentor for students at all levels, including those serving as teaching assistants;

(g) Results from studies conducted to measure changes in student understanding of the subject material from the beginning to the end of the course;

(h) Written testimony from former students on the impact and effectiveness of the candidate’s teaching and mentorship;

(i) Awards or other acknowledgements of excellent teaching;

(j) A self-evaluation of the candidate’s teaching.

Initial appointment to the Assistant Lecturer with Potential for Security of Employment title requires clear evidence of the potential for teaching excellence.

Appointment or promotion to the Associate Lecturer with Security of Employment title requires clear documentation of consistent and sustained excellence in effective teaching. Under no circumstances will security of employment be conferred unless there is clear documentation of consistent and sustained excellence in teaching.
Appointment or promotion to the Lecturer with Security of Employment title requires evidence of consistent and sustained excellence in effective teaching and demonstrated distinction in the special competencies appropriate to teaching the particular subject. iv

(2) Professional and/or Scholarly Achievement and Activity

Clearly demonstrated evidence of professional and/or scholarly achievement and activity, including creative activity, is one of the criteria for appointment or promotion. Professional and/or scholarly activities may be related to the underlying discipline itself or to pedagogy. Such activities should provide evidence of achievement, leadership, and/or influence on the campus or beyond. Certain administrative activities (e.g., of learning centers and teaching programs) and community outreach activities are also relevant, as would be presentations of seminars or lectures at other institutions or professional societies, or participation in scholarly activities (e.g., summer seminars) designed to enhance scholarly expertise in relevant fields. Other records of participation in intensive programs of study - in order to be a more effective teacher and scholar, with the goal of enhancing one's teaching and scholarly responsibilities - are also relevant evidence of professional and/or scholarly activity.
Creative activities count as relevant professional and/or scholarly activities in appropriate disciplines. In certain fields, such as art, architecture, dance, music, literature, and drama, an accomplished creation should receive consideration as an example of professional and/or scholarly achievement and activity. In evaluating creative activities, an attempt should be made to define the candidate’s merit in the light of such criteria as originality, scope, richness, and depth of creative expression.

The following are broadly defined, non-exclusive examples of evidence that may be presented:

(a) Documentation of the development of or contributions to:

   (i) original materials designed to improve learning outcomes;

   (ii) evidence-based design and evaluation of educational curricula or pedagogy;

   (iii) administration and evaluation of a teaching program or a learning center;

   (iv) systematic quality improvement programs and evaluation of their implementation;
(v) discipline-specific information systems;

(vi) development and evaluation of community outreach or community-oriented programs.

(b) First, senior, or collaborative authorship of scholarly or professional publications;

(c) Accomplished performance, including conducting and directing;

(d) Accomplished creation;

(e) Accepted invitations to present seminars or lectures at other institutions or before professional societies.

Initial appointment to the Assistant Lecturer with Potential for Security of Employment title requires evidence or promise of productive and creative contributions to professional and/or scholarly activity that would support excellent teaching.
Appointment or promotion to the Associate Lecturer with Security of Employment title requires evidence of sustained professional and/or scholarly achievement and activity in support of excellent teaching.

Appointment or promotion to the Lecturer with Security of Employment title requires evidence of consistent and sustained professional and/or scholarly achievement and activity in support of excellent teaching that have made the candidate a leader in the professional field and/or in education.

(3) **University and Public Service**

The review committee should evaluate the quantity and quality of service to the department, the campus, the University, and the public (whether to the local community, state, or nation). Service which is directly related to the candidate’s professional expertise and achievement is of special relevance but so too is service in areas beyond those special capacities when the work done is of sufficiently high quality. Examples of service include: service related to the improvement of curricula or standards in elementary and secondary education; service on thesis and dissertation committees or on student-faculty committees and service to student organizations; and contributions furthering diversity and equal opportunity within the University through participation in recruitment, retention, and mentoring of scholars and students.
Initial appointment to the Assistant Lecturer with Potential for Security of Employment title requires evidence of the likelihood of participation in department activities and the potential for service to the campus.

Appointment or promotion to the Associate Lecturer with Security of Employment title requires evidence of activity on committees within the professional field, department, school, campus, or University; or of service to the public in areas directly related to the candidate’s professional expertise and achievement.

Appointment or promotion to the Lecturer with Security of Employment title requires active participation on committees within the professional field, department, school, campus, or University; or of service to the public or profession in areas directly related to the candidate’s professional expertise and achievement.

\[\text{\textsuperscript{i} Changed proposed series title from Teaching Professor Series to Security of Employment Series. Official series title is Security of Employment; however, Teaching Professor may be used as a working title.}\]

\[\text{\textsuperscript{ii} Removed innovation as a requirement added in first revision. Performance specifications of this series have been revised from the title of \textit{professional and scholarly achievement and activity} to the title of \textit{professional and/or scholarly achievement and activity}.}\]

\[\text{\textsuperscript{iii} Creative activities are defined as a part of \textit{professional and/or scholarly achievement and activity}.}\]

\[\text{\textsuperscript{iv} Removed requirement: “innovative teaching beyond that demonstrated as an Associate Teaching Professor” and replaced with “demonstrated distinction in the special competencies appropriate to teaching the particular subject.”}\]

\[\text{\textsuperscript{v} Accomplished creation added as part of creative activities.}\]
210-3  **Instructions to Review Committees That Advise on Actions Concerning the Teaching Professor Security of Employment Series**

a. The Bylaws of The Regents provide: “No political test shall ever be considered in the appointment and promotion of any faculty member or employee.” This provision is pertinent to every stage in the process of considering appointments and advancements.

b. The policies and procedures set forth above in APM - 210-1-a, -b, -c, and -e shall govern the committee in the confidential conduct of its review and in the preparation of its report. The committee should refer to APM - 285 for policies on the Teaching Professor Security of Employment series, including use of the working title Teaching Professor.

c. The review committee shall evaluate the candidate with respect to the proposed rank and duties considering the record of the candidate’s performance in

   (1) Teaching excellence and innovation, (2) Professional and/or scholarly achievement and activity, including creative activity, and (3) University and public service.

   Superior intellectual attainment, as evidenced particularly in excellent and innovative teaching as well as in professional and/or scholarly achievement and activity, is an
indispensable qualification for appointment or promotion to security of employment positions. Insistence on this standard for holders of the teaching professorship Security of Employment series is necessary for maintaining the quality of the University as an institution dedicated to education. The review committee must further evaluate whether the candidate has a record of excellence in teaching and innovation while engaging in a program of professional and/or scholarly activity that is both sound and productive appropriate for this series.

When evaluating the candidate’s qualifications within these areas, the review committee shall exercise reasonable flexibility, and balancing, when the case requires, heavier commitments and responsibilities in one area against lighter commitments and responsibilities in another. Consideration should also be given to changes in professional emphasis and interest that may occur in an academic career. The review committees must take exceptional care to apply the criteria with sufficient flexibility. However, flexibility does not entail a relaxation of high standards.

The University of California is committed to excellence and equity in every facet of its mission. Contributions in all areas of faculty achievement that promote equal opportunity and diversity should be given due recognition in the academic personnel process, and they should be evaluated and credited in the same way as other faculty achievements. These contributions to diversity and equal opportunity can take a variety of forms including efforts to advance equitable access to education, public
service that addresses the needs of California’s diverse population, or teaching that is particularly sensitive to diverse populations. Mentoring and advising of students and faculty members, particularly from underrepresented and underserved populations, should be given due recognition in the teaching or service categories of the academic personnel process.

d. The candidate is expected to submit for the review file a presentation of his or her activity in all three areas of teaching excellence and innovation, professional and/or scholarly achievement and activity, and University and public service. Evidence may be relevant to evaluation of achievement in more than one category and the review committee will assign the evidence to the appropriate category. Campus guidelines may include separate requirements, expectations, or guidelines for various schools or departments. The criteria set forth below are intended to serve as guides for minimum standards by which to evaluate the candidate, not to set boundaries to exclude other elements of performance that may be considered.

(1) **Teaching Excellence and Innovation**

Clearly demonstrated evidence of excellent teaching is an essential criterion for appointment, advancement, or promotion. Teaching Professors, Lecturers in the Security of Employment series are expected to maintain a continuous and current command of their disciplinary subjects while demonstrating the ability to foster an inclusive and stimulating learning environment, in which students gain knowledge of the subject, engage in
problem-solving, and develop their ability to apply critical thinking, evidence, and creativity.

When evaluating the effectiveness of a candidate’s teaching, the committee should consider such factors as the following objectives for individuals in this series: command of and display evidence of continuous growth in the and mastery of the subject field; emphasize the connections between capacity to awaken in students an awareness of the relationship of the subject to and other fields of knowledge study; fostering an environment that supports of student curiosity, independence, evaluation of evidence, commitment to high standards, and capacity to reason; provide guidance, mentoring, and advising to students, teaching assistants, and other teachers, staff and faculty with teaching responsibilities; creating an academic environment that is facilitates active participation and learning by open and encouraging to all students with a focus on, including development of particularly effective strategies to advance learning for the educational advancement of by students in various underrepresented groups; contribute to the development and adoption of effective evidence-based scholarly contributions to pedagogical strategies and curricular development, including the development of innovative instructional units, materials, and resources; incorporate and promote significant curricular revisions informed by current pedagogical knowledge; and apply and advocate for effective introduction of innovative teaching techniques.
The committee should attend to the variety of demands placed on Teaching Professor the Security of Employment series by the types of teaching called for in various disciplines and at various levels and should evaluate the total performance of the candidate with proper reference to assigned teaching responsibilities. The committee should clearly indicate the sources of evidence on which its appraisal of teaching excellence has been based. In preparing its recommendation, the review committee should keep in mind that the report is an important record of the candidate’s teaching serving and serves as the basis for the review committee’s evaluation. Additional recommendations and the final decision.

It is the responsibility of the department chair to submit meaningful evaluation, accompanied by supporting evidence, of the candidate’s teaching effectiveness.

The following is a broadly defined, non-exclusive list of evidence that may be presented concerning teaching criteria:

(a) Peer review assessments from other faculty members based on knowledge in the candidate’s field; class visitations; attendance at the candidate’s lectures before professional societies or in public; or the performance of students who have studied with the candidate;

(b) Evaluations or comments solicited from students in courses taught since the candidate’s last review;
(c) A term-by-term enumeration of the number and types of courses and tutorials taught since the candidate’s last review including:

(i) the level of courses and tutorials taught;

(ii) the enrollments of courses and tutorials taught;

(iii) the percentage of student course evaluations in relation to the total number of students in each course;

(iv) brief explanations for abnormal course loads;

(d) Identification of any new courses taught or of previously-taught courses for which the candidate has substantially reorganized the approach or content;

(e) Documentation of the introduction of new substantive developments in the field or of new and effective techniques of instruction, including techniques that meet the needs of students from groups that are underrepresented in the field of instruction;

(f) Documentation of success as a positive role model or effective mentor for students at all levels, including those serving as teaching assistants;

(g) Results from studies conducted to measure changes in student understanding of the subject material from the beginning to the end of the course;
(h) Written testimony from former students on the impact and effectiveness of
the candidate’s teaching and mentorship;

(i) Awards or other acknowledgements of distinguished excellent teaching;

(j) A self-evaluation of the candidate’s teaching.

Initial appointment to the Assistant Teaching Professor Lecturer with Potential for
Security of Employment title requires clear evidence of the potential for teaching
excellence and innovation.

Appointment or promotion to the Associate Teaching Professor Lecturer with
Security of Employment title requires clear documentation of consistent and
sustained excellence in effective and innovative teaching. Under no
circumstances will security of employment be conferred unless there is clear
documentation of consistent and sustained excellence in teaching.

Appointment or promotion to the Teaching Professor Lecturer with Security of
Employment title requires evidence of consistent and sustained excellence in
effective and innovative teaching beyond that and demonstrated distinction in the
special competencies appropriate to teaching the particular subject as an
Associate Teaching Professor.
(2) **Professional and/or Scholarly Achievement and Activity**

Clearly demonstrated evidence of

Teaching Professors are expected to engage in professional and/or scholarly achievement and activities, including creative activity, is one of the criteria for appointment or promotion. Professional and/or scholarly activities may be related to pedagogy and/or in the underlying discipline itself or to pedagogy. Such activities should provide leading to evidence of achievement, leadership, and/or influence on the campus or beyond the campus. Such intellectual influence may be documented by publications (either in print or electronic formats) demonstrating outstanding and externally recognized contributions to the development of pedagogy and/or theoretical or applied research in the discipline.

Certain administrative activities (e.g., of learning centers and teaching programs) and community outreach activities are also relevant, as would be presentations of seminars or lectures at other institutions or professional societies, or participation in scholarly activities (e.g., summer seminars) designed to enhance scholarly expertise in relevant fields. Other records of participation in intensive programs of study - in order to be a more effective teacher and scholar, with the goal of enhancing one’s teaching and scholarly responsibilities - are also relevant evidence of professional and/or scholarly activity. Achievement and leadership in pedagogy could include development or application of innovative methods of teaching or evaluative tools to assess the impact of teaching. Contributions to the advancement of professional practice or professional education, including
equitable access and diversity in education, should be evaluated in this category when they present new ideas or scholarly research. The candidate’s professional activities should be evaluated for evidence of achievement and leadership in the field and development or utilization of new approaches and techniques for the solution of professional problems, including those that specifically address the professional advancement of individuals in underrepresented groups in the candidate’s field.

Creative activities count as relevant professional and/or scholarly activities in appropriate disciplines.

In certain fields, such as art, architecture, dance, music, literature, and drama, distinguished accomplished creation should receive consideration as an example of professional and/or scholarly achievement and activity. In evaluating creative activities, an attempt should be made to define the candidate’s merit in the light of such criteria as originality, scope, richness, and depth of creative expression.

The following are broadly defined, non-exclusive examples of evidence that may be presented:

(a) Documentation of the development of or contributions to:
(i) original materials designed to improve learning outcomes;

(ii) evidence-based design and evaluation of educational curricula or pedagogy;

(iii) administration and evaluation of a teaching program or a learning center;

(iv) systematic quality improvement programs and evaluation of their implementation;

(v) discipline-specific information systems;

(vi) development and evaluation of community outreach or community-oriented programs.

(b) First, senior, or collaborative authorship of scholarly or professional publications;

(c) Distinguished Accomplished performance, including conducting and directing;

(d) Accomplished creation;
(e) Accepted invitations to present seminars or lectures at other institutions or before professional societies.

Initial appointment to the Assistant Teaching Professor Lecturer with Potential for Security of Employment title requires evidence or promise of productive and creative contributions to pedagogy or scholarship in the underlying discipline professional and/or scholarly activity that would support excellent teaching.

Appointment or promotion to the Associate Teaching Professor Lecturer with Security of Employment title requires evidence of sustained that the professional and/or scholarly achievement and activity in support of excellent teaching and are recognized by peers within the University of California and externally by experts in the field.

Appointment or promotion to the Teaching Professor Lecturer with Security of Employment title requires evidence of consistent and sustained professional and/or scholarly achievement and activity significant distinction in pedagogy and/or in the underlying discipline that supports excellent teaching and that have made the candidate a leader in the professional field and/or in education is externally recognized as having a broad scholarly impact.
(3) **University and Public Service**

The review committee should evaluate the quantity and quality of service to the department, the campus, the University, and the public (whether to the local community, state, or nation). Service which is directly related to the candidate’s professional expertise and achievement is of special relevance but so too is service in areas beyond those special capacities when the work done is of sufficiently high quality. Examples of service include: service related to the improvement of curricula or standards in elementary and secondary education; service on thesis and dissertation committees or on student-faculty committees; service to student organizations; and contributions furthering diversity and equal opportunity within the University through participation in recruitment, retention, and mentoring of scholars and students.

Initial appointment to the Assistant Teaching Professor Lecturer with Potential for Security of Employment title requires evidence of the likelihood of participation in department activities and the potential for service to the campus.

Appointment or promotion to the Associate Teaching Professor Lecturer with Security of Employment title requires evidence of active participation on committees within the professional field, department, school, campus, or University; or of service to the public in areas directly related to the candidate’s professional expertise and achievement.
Appointment or promotion to the Teaching Professor/Lecturer with Security of Employment title requires active participation on committees within the professional field, department, school, campus, or University; or of service to the public or profession in areas directly related to the candidate’s professional expertise and achievement.
210-3  Instructions to Review Committees Which Advise on Actions Concerning the Lecturer with Security of Employment Series

a. The Bylaws of The Regents provide: “No political test shall ever be considered in the appointment and promotion of any faculty member or employee.” This provision is pertinent to every stage in the process of considering appointments and advancements.

b. The policies and procedures set forth above in APM - 210-1-a, -b, -c, and -e, shall govern the committee in the confidential conduct of its review and in the preparation of its report. The committee should refer to APM - 285 both for policies and procedures on appointments in the Lecturer with Security of Employment series, including use of the working title Teaching Professor.

c. The review committee shall judge the candidate with respect to the proposed rank and duties considering the record of the candidate’s performance in (1) Teaching excellence, (2) Professional and/or scholarly achievement and activity, including creative activity, and (3) University and public service.
Superior intellectual attainment, as evidenced particularly in excellent teaching as well as in professional and/or scholarly achievement and activity, is an indispensable qualification for appointment or promotion to security of employment. This standard for appointees in the Security of Employment series is necessary for maintaining the quality of the University as an institution dedicated to education. The review committee must further evaluate whether the candidate has a record of excellence in teaching while engaging in a program of professional and/or scholarly activity that is appropriate for this series.

When evaluating the candidate’s qualifications within these areas, the review committee shall exercise reasonable flexibility, and balancing, when the case requires, heavier commitments and responsibilities in one area against lighter commitments and responsibilities in another. Consideration should also be given to changes in professional emphasis and interest that may occur in an academic career. The review committees must take exceptional care to apply the criteria with sufficient flexibility. However, flexibility does not entail a relaxation of high standards.

The University of California is committed to excellence and equity in every facet of its mission. Contributions in all areas of faculty achievement that promote equal opportunity and diversity should be given due recognition in the academic personnel...
process, and they should be evaluated and credited in the same way as other faculty achievements. These contributions to diversity and equal opportunity can take a variety of forms including efforts to advance equitable access to education, public service that addresses the needs of California’s diverse population, or teaching that is particularly sensitive to diverse populations. Mentoring and advising of students and faculty members, particularly from underrepresented and underserved populations, should be given due recognition in the teaching or service categories of the academic personnel process.

d. The candidate is expected to submit for the review file a presentation of his or her activity in all three areas of teaching excellence, professional and/or scholarly achievement and activity, and University and public service. Evidence may be relevant to evaluation of achievement in more than one category and the review committee will assign the evidence to the appropriate category. Campus guidelines may include separate requirements, expectations, or guidelines for various schools or departments. The criteria set forth below are intended to serve as guides for minimum standards by which to judge evaluate the candidate, not to set boundaries to exclude other elements of performance that may be considered, as agreed upon by the candidate and the department.

(1) Teaching Excellence
Clearly demonstrated evidence of excellent teaching is an essential criterion for appointment, advancement, or promotion. *Lecturers in the Security of Employment* series are expected to maintain a continuous and current command of their disciplinary subjects while demonstrating the ability to foster an inclusive and stimulating learning environment. Under no circumstances will security of employment be conferred unless there is clear documentation of outstanding teaching.

When evaluating the effectiveness of a candidate’s teaching, the committee should consider such points as the following objectives for individuals in this series: the candidate’s command of the subject; continuous growth and mastery of the subject field; emphasize the connections between ability to organize material and to present it with force and logic; capacity to awaken in students an awareness of the relationship of the subject to other fields of knowledge; fostering an environment that supports student independence and capability to reason; ability to arouse curiosity, independent evaluation of evidence, and capacity to reason in students and to encourage high standards; personal attributes as they affect teaching and students; extent and skill...
of the candidate’s participation in the general provide guidance, mentoring, and advising of to students, teaching assistants, and other staff and faculty with teaching responsibilities; and effectiveness in creating an academic environment that facilitates active participation and learning by all students with a focus on developing effective strategies to advance learning by students in various underrepresented groups; contribute to the development and adoption of effective evidence-based pedagogical strategies including instructional units, materials, and resources; incorporate and promote significant curricular revisions informed by current pedagogical knowledge; and apply and advocate for effective teaching techniques.

is open and encouraging to all students. The committee should pay due attention attend to the variety of demands placed on Lecturers the Security of Employment series by the types of teaching called for in various disciplines and at various levels, and should judge evaluate the total performance of the candidate with proper reference to assigned teaching responsibilities. The committee should clearly indicate the sources of evidence on which its appraisal of teaching competence excellence has been based. In those exceptional cases of an initial appointment where no such evidence is available, the candidate’s potential as a teacher may be indicated in closely analogous activities. In preparing its recommendation, the review committee
should keep in mind that the report may be an important means of informing the candidate of the evaluation of his or her teaching and serves as the basis for that evaluation additional recommendations and the final decision.

It is the responsibility of the department chair to submit meaningful statements of evaluation, accompanied by supporting evidence, of the candidate’s teaching effectiveness.

Among significant types of evidence that may be presented concerning teaching excellence are the following:

(a) opinions of Peer review assessments from other faculty members based on knowledgeable in the candidate’s field, particularly if based on class visitations, on attendance at the candidate’s public lectures or lectures before professional societies or in public; given by the candidate, or on the performance of students who have studied with in courses taught by the candidate that are prerequisite to those of the informant;

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(b) opinions of Evaluations or comments solicited from students in courses taught since the candidate’s last review;

(a)(c) a-quarter-by-quarter or semester-A term-by-semester enumeration of the number and types of courses and tutorials taught since the candidate’s last review which includes:

(i) the level of courses and tutorials taught;
(ii) the enrollments of courses and tutorials taught;
(iii) for each course, the percentage of student course evaluations in relation to the total number of students in the each course;
(iv) brief explanations for abnormal course loads;

(d) Identification of any new courses taught or of previously-taught courses for which the candidate has substantially reorganized in-the approach or content; opinions of graduates; and
(e) Documentation of the introduction of new substantive developments in the field or of new and effective techniques of instruction, including techniques that meet the needs of students from groups that are underrepresented in the field of instruction;

(f) Documentation of success as a positive role model or effective mentor for students at all levels, including those serving as teaching assistants;

(g) Results from studies conducted to measure changes in student understanding of the subject material from the beginning to the end of the course;

(h) Written testimony from former students on the impact and effectiveness of the candidate’s teaching and mentorship;

(i) Awards or other acknowledgements of distinguished-excellent teaching;

(j) A self-evaluation of the candidate’s teaching.

(f) When the faculty member under review wishes, a self-evaluation of his or her teaching; and (g) commentary by other faculty on teaching effectiveness. When any of the information specified in this paragraph is not provided, the department chair will include an explanation for that omission in the candidate’s dossier. If such information is not included with the letter of recommendation and its absence is not adequately accounted for, it is the review committee chair’s responsibility to request it through the Chancellor.
Initial appointment to the Assistant Lecturer with Potential for Security of Employment title requires clear evidence of the potential for teaching excellence.

Appointment or promotion to the Associate Lecturer with Security of Employment title requires clear documentation of consistent and sustained excellence in effective teaching. Under no circumstances will security of employment be conferred unless there is clear documentation of consistent and sustained excellence in teaching.

Appointment or promotion to the Lecturer with Security of Employment title requires evidence of consistent and sustained excellence in effective teaching and demonstrated distinction in the special competencies appropriate to teaching the particular subject.

(2) **Professional and/or Scholarly Achievement and Activity**

Clearly demonstrated evidence of professional and/or scholarly achievement and activity, including creative activity, is one of the criteria for appointment or promotion. Professional and/or scholarly activities may be related to the underlying discipline itself or to pedagogy. Such activities should provide evidence of achievement, leadership, and/or influence on the campus or beyond. Certain
administrative activities (e.g., of learning centers and teaching programs) and community outreach activities are also relevant, as would be presentations of seminars or lectures at other institutions or professional societies, or participation in scholarly activities (e.g., summer seminars) designed to enhance scholarly expertise in relevant fields. Other records of participation in intensive programs of study - in order to be a more effective teacher and scholar, with the goal of enhancing one's teaching and scholarly responsibilities - are also relevant evidence of professional and/or scholarly activity.

Creative activities count as relevant professional and/or scholarly activities in appropriate disciplines. In certain fields, such as art, architecture, dance, music, literature, and drama, an accomplished creation should receive consideration as an example of professional and/or scholarly achievement and activity. In evaluating creative activities, an attempt should be made to define the candidate’s merit in the light of such criteria as originality, scope, richness, and depth of creative expression.

The following are broadly defined, non-exclusive examples of evidence that may be presented:

(a) Documentation of the development of or contributions to:
(i) original materials designed to improve learning outcomes;

(ii) evidence-based design and evaluation of educational curricula or pedagogy;

(iii) administration and evaluation of a teaching program or a learning center;

(iv) systematic quality improvement programs and evaluation of their implementation;

(v) discipline-specific information systems;

(vi) development and evaluation of community outreach or community-oriented programs.

(b) First, senior, or collaborative authorship of scholarly or professional publications;

(c) Accomplished performance, including conducting and directing;

(d) Accomplished creation;
(e) Accepted invitations to present seminars or lectures at other institutions or before professional societies.

Initial appointment to the Assistant Lecturer with Potential for Security of Employment title requires evidence or promise of productive and creative contributions to professional and/or scholarly activity that would support excellent teaching.

Appointment or promotion to the Associate Lecturer with Security of Employment title requires evidence of sustained professional and/or scholarly achievement and activity in support of excellent teaching.

Appointment or promotion to the Lecturer with Security of Employment title requires evidence of consistent and sustained professional and/or scholarly achievement and activity in support of excellent teaching that have made the candidate a leader in the professional field and/or in education.

A demonstrated distinction in the special competencies appropriate to teaching the particular subject is one of the criteria for appointment or promotion. The candidate’s professional activities should be scrutinized for evidence of achievement and leadership. Intellectual leadership must
be documented by materials demonstrating that the candidate has, through publication (either in traditional forms or in electronic format), creative accomplishments, or other professional activity, made outstanding and recognized contributions to the development of his or her special field and/or of pedagogy.

(3) University and Public Service

The review committee should evaluate both the quantity and the quality of service by the candidate to the department, the campus, the University, and the public (whether to the local community, state, or nation), paying particular attention to that service which is directly related to the candidate’s professional expertise and achievement is of special relevance but so too is service.

Evidence of suitability for promotion may be demonstrated in services to the community, state, and nation, both in the candidate’s special capacities as a teacher and in areas beyond those special capacities when the work done is at a sufficiently high level and of sufficiently high quality. Faculty Examples of service include: activities-service related to the improvement of curricula or standards in elementary and secondary education represent one example of this kind.
of service. Similarly, contributions to student welfare through service on thesis and dissertation committees or on student-faculty committees and as advisers to student organizations; and contributions furthering diversity and equal opportunity within the University through participation in recruitment, retention, and mentoring of scholars and students, should be recognized as evidence. The department chair should provide both a list of service activities and an analysis of the quality of this service.

The Standing Orders of The Regents provide: “No political test shall ever be considered in the appointment and promotion of any faculty member or employee.” This provision is pertinent to every stage in the process of considering appointments and promotions.

Initial appointment to the Assistant Lecturer with Potential for Security of Employment title requires evidence of the likelihood of participation in department activities and the potential for service to the campus.

Appointment or promotion to the Associate Lecturer with Security of Employment title requires evidence of activity on committees within the professional field.
department, school, campus, or University; or of service to the public in areas
directly related to the candidate’s professional expertise and achievement.

Appointment or promotion to the Lecturer with Security of Employment title
requires active participation on committees within the professional field, department,
school, campus, or University; or of service to the public or profession in areas
directly related to the candidate’s professional expertise and achievement.
b. This subsection applies to a person who holds the title Assistant Lecturer with Potential for Security of Employment.  

Periods of service in any combination of the following titles on any campus of the University of California count toward the eight-year limit in determining the status of an Assistant Lecturer with Potential for Security of Employment (PSOE) appointed at more than 50 percent time. See Section 103.10 of the Standing Orders of The Regents.

Security of Employment titles at more than 50 percent time

Assistant Lecturer with Potential for Security of Employment

Acting Assistant Lecturer with Potential for Security of Employment

Acting Associate Lecturer with Security of Employment

Acting Lecturer with Security of Employment
Professor series and related titles

Instructor
Assistant Professor
Acting Assistant Professor
Visiting Assistant Professor
Acting Associate Professor
Visiting Associate Professor
Acting Professor
Visiting Professor

The following additional provisions apply:

(1) Unless otherwise indicated in the above list, an appointment at any percentage of time including 0 percent counts toward the eight-year limit. Appointments which are 0 percent time because the appointee is on leave may be eligible for exclusion (see APM - 133-17-g). Some campuses call 0 percent appointments “without salary (WOS) appointments.”
Limitation on Total Period of Service with Certain Academic Titles

(2) For information on temporary transfers, changes of status, and periods of leave in regard to the computation of years of service, see APM - 133-17-g. For information on breaks in service, see APM - 133-17-e.

(3) When there has been a review of an Assistant Lecturer with Potential for Security of Employment at more than 50 percent time and the Chancellor has decided not to continue the individual’s appointment in that series, the individual may not be appointed on any campus to certain faculty titles for a period of five years. For a list of these faculty titles, see APM - 133, Appendix A.

Previous years of service as an Assistant Lecturer with Potential for Security of Employment count toward the eight-year limit, regardless of a break in service.

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i Changed title from Assistant Teaching Professor to Assistant Lecturer with Potential for Security of Employment.

ii Pending revision to APM-235: adding Acting titles to the list of eligible titles and revising criteria to coincide with SOE series requirements.
b. This subsection applies to a person who holds the title Assistant Teaching Professor Lecturer with Potential for Security of Employment.

Periods of service in any combination of the following titles on any campus of the University of California count toward the eight-year limit in determining the status of an Assistant Teaching Professor Lecturer with Potential for Security of Employment (PSOE) appointed at more than 50 percent time. See Section 103.10 of the Standing Orders of The Regents.

Teaching Professor Security of Employment titles at more than 50 percent time

Assistant Teaching Professor Lecturer with Potential for Security of Employment

Acting Assistant Teaching Professor Lecturer with Potential for Security of Employment

Acting Associate Teaching Professor Lecturer with Security of Employment

Acting Teaching Professor Lecturer with Security of Employment
Limitation on Total Period of Service with Certain Academic Titles

Professor series and related titles

Instructor
Assistant Professor
Acting Assistant Professor
Visiting Assistant Professor
Acting Associate Professor
Visiting Associate Professor
Acting Professor
Visiting Professor

The following additional provisions apply:

(1) Unless otherwise indicated in the above list, an appointment at any percentage of time including 0 percent counts toward the eight-year limit. Appointments which are 0 percent time because the appointee is on leave may be eligible for exclusion (see APM - 133-17-g). Some campuses call 0 percent appointments “without salary (WOS) appointments.”
(2) For information on temporary transfers, changes of status, and periods of leave in regard to the computation of years of service, see APM - 133-17-g. For information on breaks in service, see APM - 133-17-e.

(3) When there has been a review of an Assistant Teaching Professor Lecturer with Potential for Security of Employment at more than 50 percent time and the Chancellor has decided not to continue the individual’s appointment in that series, the individual may not be appointed on any campus to certain faculty titles for a period of five years. For a list of these faculty titles, see APM - 133, Appendix A.

Previous years of service as an Assistant Teaching Professor Lecturer with Potential for Security of Employment count toward the eight-year limit, regardless of a break in service.
b. This subsection applies to a person who holds the title Assistant Lecturer- with Potential for Security of Employment or Senior Lecturer-Potential Security of Employment.

Note: Appointees with titles of Lecturer or Senior Lecturer who do not have the potential for security of employment are not subject to this eight-year limit. Other limitations of service, however, may apply. Please consult your Academic Personnel office.

Periods of service in any combination of the following titles on any campus of the University of California count toward the eight-year limit in determining the status of an Assistant Lecturer- with Potential for Security of Employment (PSOE) or Senior Lecturer-Potential Security of Employment (PSOE) appointed at more than 50 percent time. See Section 103.10 of the Standing Orders of The Regents.

Lecturer Security of Employment titles at more than 50 percent time

Lecturer
Senior Lecturer
Assistant Lecturer with Potential for Security of Employment
Senior Lecturer—Potential Security of Employment

Acting Assistant Lecturer with Potential for Security of Employment

Acting Associate Lecturer with Security of Employment

Acting Lecturer with Security of Employment

Professor series and related titles

Instructor

Assistant Professor

Acting Assistant Professor

Visiting Assistant Professor

Acting Associate Professor

Visiting Associate Professor

Acting Professor

Visiting Professor

The following additional provisions apply:

(1) Unless otherwise indicated in the above list, an appointment at any percentage of time including 0 percent counts toward the eight-year limit.
Appointments which are 0 percent time because the appointee is on leave may be eligible for exclusion (see APM - 133-17-g). Some campuses call 0 percent appointments “without salary (WOS) appointments.”

(2) For information on temporary transfers, changes of status, and periods of leave in regard to the computation of years of service, see APM - 133-17-g. For information on breaks in service, see APM - 133-17-e.

(3) When there has been a review of an Assistant Lecturer with Potential for Security Oof Employment or Senior Lecturer PSOE at more than 50 percent time and the Chancellor has decided not to continue the individual’s appointment in that series, the individual may not be appointed on any campus to certain faculty titles for a period of five years. For a list of these faculty titles, see APM - 133, Appendix A.

Previous years of service are calculated over a lifetime on any campus. For example, an individual who previously served as an Assistant Lecturer with Potential for Security Oof Employment count toward the eight-year limit, regardless of a break in service, and who is appointed as a Lecturer PSOE on another campus after a break in service of five years, will have previous years of service “on the clock” (APM - 133-17-e).
Endnotes are for review purposes only and will not appear on the final issuance. The revisions are based on comments received from the first systemwide review.

BENEFITS AND PRIVILEGES
Leaves of Absence/Sabbatical Leaves

740-8 Types

b. Sabbatical leave in residence at the University may be granted to a faculty member who is eligible for a regular sabbatical and who, in addition to a program of research and/or study, will teach at the University of California. Appointees in the Professorial series shall teach one class which meets regularly at least three hours each week during each term of the sabbatical period or will perform an equivalent amount of instructional service in a course or in a clinical setting regarded as essential to the program of that campus. Appointees in the Security of Employment series shall teach a reduced load based on overall teaching workload. A faculty member on sabbatical leave in residence who meets this teaching requirement shall be freed from all other teaching obligations and from all committee and administrative work."
740-11 **Qualifying Service**

Credit toward eligibility to apply for sabbatical leave is earned only by service in the University under the following conditions:

a. Subject to the provisions of APM - 740-11-d through 740-11-i, credit toward eligibility to apply for sabbatical leave is accrued by an academic appointee for each full quarter or semester of half-time or more service in one or more of the following titles:

1. Professor, Associate Professor, Assistant Professor, Instructor, Acting Professor in a law school
2. Lecturer with Security of Employment, Associate Lecturer with Security of Employment, Assistant Lecturer with Potential for Security of Employment
3. Astronomer, Associate Astronomer, Assistant Astronomer, Junior Astronomer
(4) Agronomist, Associate Agronomist, Assistant Agronomist, Junior Agronomist, and other comparable titles in the Agricultural Experiment Stations, but not including the Specialist series in the Agricultural Experiment Stations

(5) Cooperative Extension Advisor, Associate Cooperative Extension Advisor, Assistant Cooperative Extension Advisor

(6) Specialist in Cooperative Extension, Associate Specialist in Cooperative Extension, Assistant Specialist in Cooperative Extension

(7) Supervisor, Associate Supervisor, Assistant Supervisor, Junior Supervisor in Physical Education

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i Changed series title from Teaching Professor Series to Security of Employment Series.

ii Changed titles from Assistant Teaching Professor, Associate Teaching Professor, and Teaching Professor to Assistant Lecturer with Potential for Security of Employment, Associate Lecturer with Security of Employment, and Lecturer with Security of Employment.
740-8  Types

b. Sabbatical leave in residence at the University may be granted to a faculty member who is eligible for a regular sabbatical and who, in addition to a program of research and/or study, will teach at the University of California. Appointees in the Professorial series shall teach one class which meets regularly at least three hours each week during each term of the sabbatical period or will perform an equivalent amount of instructional service in a course or in a clinical setting regarded as essential to the program of that campus. Appointees in the Teaching Professor Security of Employment series shall teach a reduced load based on overall teaching workload. A faculty member on sabbatical leave in residence who meets this teaching requirement shall be freed from all other teaching obligations and from all committee and administrative work.

740-11  Qualifying Service

Credit toward eligibility to apply for sabbatical leave is earned only by service in the University under the following conditions:
a. Subject to the provisions of APM - 740-11-d through 740-11-i, credit toward eligibility to apply for sabbatical leave is accrued by an academic appointee for each full quarter or semester of half-time or more service in one or more of the following titles:

(1) Professor, Associate Professor, Assistant Professor, Instructor, Acting Professor in a law school

(2) Teaching Professor, Associate Teaching Professor, Assistant Teaching Professor Lecturer with Security of Employment, Associate Lecturer with Security of Employment, Assistant Lecturer with Potential for Security of Employment

(3) Astronomer, Associate Astronomer, Assistant Astronomer, Junior Astronomer

(4) Agronomist, Associate Agronomist, Assistant Agronomist, Junior Agronomist, and other comparable titles in the Agricultural Experiment Stations, but not including the Specialist series in the Agricultural Experiment Stations

(5) Cooperative Extension Advisor, Associate Cooperative Extension Advisor, Assistant Cooperative Extension Advisor

(6) Specialist in Cooperative Extension, Associate Specialist in Cooperative Extension, Assistant Specialist in Cooperative Extension
(7) Supervisor, Associate Supervisor, Assistant Supervisor, Junior Supervisor in Physical Education
740-8  **Types**

b. Sabbatical leave in residence at the University may be granted to a faculty member who is eligible for a regular sabbatical and who, in addition to a program of research and/or study, will teach at the University of California, home campus or another U.C. campus. The faculty member Appointees in the Professorial series shall teach one class which meets regularly at least three hours each week during each term of the sabbatical period or will perform an equivalent amount of instructional service in a course or in a clinical setting regarded as essential to the program of that campus. Appointees in the Security of Employment series shall teach a reduced load based on overall teaching workload. A faculty member on sabbatical leave in residence who meets this teaching requirement shall be freed from all other teaching obligations and from all committee and administrative work.

740-11  **Qualifying Service**

Credit toward eligibility to apply for sabbatical leave is earned only by service in the University under the following conditions:
a. Subject to the provisions of APM - 740-11-d through 740-11-i, credit toward eligibility to apply for sabbatical leave is accrued by an academic appointee for each full quarter or semester of half-time or more service in one or more of the following titles:

1. Professor, Associate Professor, Assistant Professor, Instructor, and Acting Professor in a law school

2. Lecturer with Security of Employment, Associate Lecturer with Security of Employment, Assistant Lecturer with Potential for Security of Employment

3. Astronomer, Associate Astronomer, Assistant Astronomer, Junior Astronomer

4. Agronomist, Associate Agronomist, Assistant Agronomist, Junior Agronomist, and other comparable titles in the Agricultural Experiment Stations, but not including the Specialist series in the Agricultural Experiment Stations

5. Cooperative Extension Advisor, Associate Cooperative Extension Advisor, Assistant Cooperative Extension Advisor

6. Specialist in Cooperative Extension, Associate Specialist in Cooperative Extension, Assistant Specialist in Cooperative Extension
(7) Supervisor, Associate Supervisor, Assistant Supervisor, Junior Supervisor in Physical Education
135-0  **Policy**

a. An appointment with security of employment shall not be terminated except for good cause after the opportunity for a hearing before the properly constituted advisory committee of the Academic Senate (Regents’ Standing Order 103.10).

b. Security of employment may be granted only in conjunction with the Associate Lecturer with Security of Employment and Lecturer with Security of Employment titles on an appointment of more than half time.\textsuperscript{1}

c. The eight-year limitation of service for an Assistant Lecturer with Potential for Security of Employment (PSOE) is described in APM - 133-0-b, APM - 133-12 and -17, and APM - 285.

d. Security of employment is not a reward for length of service but is based upon appraised and recognized merit.

e. Security of employment is not to be conferred on an appointee unless there is an appropriately budgeted provision for the appointment. The President may make certain specified exceptions to this rule in general conformity to the
permitted exceptions for the Professor series. (See The Regents’ Affirmation of November 19, 1971, quoted in APM - 220, Appendix A). The budgeted provision for an appointee with security of employment must be reserved for the appointee until the position is vacated by death, resignation, or retirement of the appointee, or by termination of the appointment in accordance with University policy. However, this does not preclude reduction of the percentage of time as Assistant Lecturer with Potential for Security of Employment, Associate Lecturer with Security of Employment, or Lecturer with Security of Employment to enable the appointee to serve for a time in some other capacity. In such a case, the provision or an appropriate part of it may be used to fund the temporary appointment of another academic appointee.ii

f. The Academic Personnel policy for the Security of Employment series is APM - 285.iii

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i Removed Senior Lecturer.

ii Changed titles from Lecturer with Potential for Security of Employment to Assistant Lecturer with Potential for Security of Employment and added Associate Lecturer with Security of Employment.

iii Changed series title from Lecturer with Security of Employment series to Security of Employment series.
135-0 Policy

a. An appointment with security of employment shall not be terminated except for good cause after the opportunity for a hearing before the appropriate properly constituted advisory committee of the Academic Senate (Regents’ Standing Order 103.10).

b. Security of employment may be granted only in conjunction with the title Associate Lecturer with Security of Employment and Lecturer with Security of Employment titles or Senior Lecturer on an appointment of more than half time.

d. The eight-year limitation of service for an Assistant Lecturer with Potential for Security of Employment (PSOE) or a Senior Lecturer PSOE is described in APM - 133-0-b, APM - 133-12 and -17, and APM - 285.

d. Security of employment is not a reward for length of service but is based upon appraised and recognized merit.

e. Security of employment is not to be conferred on an appointee unless there is an appropriately budgeted provision for the appointment. The President may make certain specified exceptions to this rule in general conformity to the permitted exceptions for the Professor series. (See The Regents’ Affirmation of
November 19, 1971, quoted in APM - 220, Appendix A.) The budgeted provision for an appointee with security of employment must be reserved for the appointee until the position is vacated by death, resignation, or retirement of the appointee, or by termination of the appointment in accordance with University policy. However, this does not preclude reduction of the percentage of time as Lecturer or Senior Lecturer, Assistant Lecturer with Potential for Security of Employment, Associate Lecturer with Security of Employment, or Lecturer with Security of Employment to enable the appointee to serve for a time in some other capacity. In such a case, the provision or an appropriate part of it may be used to fund the temporary appointment of another academic appointee.

Definitions

a. The “Acting” prefix will be accorded only to a person on a temporary appointment. The prefix thus will signify the conditional, probationary, or emergency status of the appointment, as well as the privilege and responsibility of conducting research and/or study, and will often be applied to a person under consideration for appointment to a regular professorial or security of employment title.

b. This prefix may properly be attached to the Assistant Professor, Associate Professor, and Professor ranks of the professorial series. The prefix is not used at the Instructor level, except for graduate student Acting Instructors, who are not part of the professorial series. This prefix may properly be attached to the Assistant Lecturer with Potential for Security of Employment, Associate Lecturer with Security of Employment, and Lecturer with Security of Employment.

c. The title Acting Professor in a School of Law is the entry-level ladder rank title. An Acting Professor in a School of Law is governed by all academic personnel policies applicable to Assistant Professors.
235-10 **Criteria**

Inasmuch as Acting appointees are under consideration for appointment to a title in the professorial or security of employment series, reference should be made to criteria set forth in sections concerning the particular title involved.

235-17 **Term of Appointment**

a. Each appointment as Acting Assistant Professor (or equivalent) or Acting Assistant Lecturer with Potential for Security of Employment shall be for a specified term, not to exceed one year. The total period of service with this title is limited to two years. (See also APM - 133-0.)

b. Each appointment as Acting Associate Professor or Acting Professor (or equivalents) or Acting Associate Lecturer with Security of Employment or Acting Lecturer with Security of Employment shall be for a specified term, not to exceed two years. The total period of service with these titles is limited to four years. (See also APM - 133-0.)

c. Service in the title Acting Professor in a School of Law counts toward the eight-year limit under Standing Order 103.9. The four-year limit described in APM - 235-17-b does not apply.
235-18 Salary

See APM - 600-18. Acting Professors in the Schools of Law are paid on the Law School salary scale.

235-20 Conditions of Employment

The following provisions apply to the conditions of employment of an Acting appointee:

a. An Acting appointee employed 50 percent time or more is included in the University of California Retirement Plan, if the appointee meets the eligibility requirements.

b. Sabbatical leave credit may be accrued by an Acting appointee in the professorial series under special conditions described in APM - 740-11-b(1). An Acting Professor in a School of Law accrues sabbatical leave credit in the same manner as an Assistant Professor.

c. Removal expenses may be allowed an Acting appointee, as provided in APM - 560-14-b. An Acting Professor in a School of Law is eligible for removal expenses under APM - 560-14-a.
235-24 **Authority**

The Chancellor is authorized to approve Acting appointments.

235-25 **Transfer to Regular Status**

An Acting appointee may be transferred to a regular appointment provided the appointment has had appropriate Academic Senate review and approval of the Chancellor.

a. Upon official certification that an appointee has completed all formal degree requirements, the department chairperson at the chair’s discretion, may recommend the appointee’s immediate transfer to a regular appointment. When a change to a regular appointment is approved, the change in title shall be effective with the beginning of the quarter or semester following the date of completion of all formal degree requirements.

b. Upon official certification that an appointee in an Acting Associate Professor or Acting Professor (or equivalent) or Acting Associate Lecturer with Security of Employment or Acting Lecturer with Security of Employment title has the permanent right to work, the appointee may be immediately transferred to a regular appointment.
c. An Acting Professor in a School of Law is eligible for consideration for promotion to Professor under the same provisions which govern the promotion of an Assistant Professor to Associate Professor. See APM - 220.

235-96 Reports

See APM - 200-96.

\footnote{Added and/or study to apply to the Security of Employment Series.}
\footnote{Added Assistant Lecturer with Potential for Security of Employment, Associate Lecturer with Security of Employment, and Lecturer with Security of Employment.}
\footnote{Previous versions were silent on use of Acting title prior to obtaining the permanent right to work.}
235-4 Definitions

a. The “Acting” prefix will be accorded only to a person on a temporary appointment. The prefix thus will signify the conditional, probationary, or emergency status of the appointment, as well as the privilege and responsibility of conducting research and/or study, and will often be applied to a person under consideration for appointment to a regular professorial or security of employment title.

b. This prefix may properly be attached to the Assistant Professor, Associate Professor, and Professor ranks of the professorial series. The prefix is not used at the Instructor level, except for graduate student Acting Instructors, who are not part of the professorial series. This prefix may properly be attached to the Assistant Lecturer with Potential for Security of Employment, Associate Lecturer with Security of Employment, and Lecturer with Security of Employment.

c. A highly promising Assistant Professor may be advanced to the title of Acting Associate Professor in those instances in which it has been determined that the appointee is not yet qualified for tenure status but should be compensated at a rate above the Assistant Professor scale. Such advancement requires review by the campus Committee on Academic Personnel and should occur only in...
the most exceptional cases. An Acting Associate Professor appointed under this provision retains all privileges to which the appointee was entitled as an Assistant Professor.

d-е. The title Acting Professor in a School of Law is the entry-level ladder rank title. An Acting Professor in a School of Law is governed by all academic personnel policies applicable to Assistant Professors.

235-10 Criteria

Inasmuch as Acting appointees are under consideration for appointment to a title in the professorial or security of employment series, reference should be made to criteria set forth in sections concerning the particular professorial title involved.

235-17 Term of Appointment

a. Each appointment as Acting Assistant Professor (or equivalent) or Acting Assistant Lecturer with Potential for Security of Employment shall be for a specified term, not to exceed one year. The total period of service with this title is limited to two years. (See also APM - 133-0.)
b. Each appointment as Acting Associate Professor or Acting Professor
(or equivalents) or Acting Associate Lecturer with Security of Employment or
Acting Lecturer with Security of Employment shall be for a specified term, not
to exceed two years. The total period of service with these titles is limited to
four years. (See also APM - 133-0.)

c. Service in the title Acting Professor in a School of Law counts toward the
eight-year limit under Standing Order 103.9. The four-year limit described in
APM - 235-17-b does not apply.

235-18 Salary

See APM - 600-18. Acting Professors in the Schools of Law are paid on the Law
School salary scale.

235-20 Conditions of Employment

The following provisions apply to the conditions of employment of an Acting
appointee:
a. An Acting appointee employed 50 percent time or more is included in the University of California Retirement Plan, if the appointee meets the eligibility requirements.

b. Sabbatical leave credit may be accrued by an Acting appointee in the professorial series under special conditions described in APM - 740-11-b(1). An Acting Professor in a School of Law accrues sabbatical leave credit in the same manner as an Assistant Professor.

c. Removal expenses may be allowed an Acting appointee, as provided in APM - 560-14-b. An Acting Professor in a School of Law is eligible for removal expenses under APM - 560-14-a.

Authority

The Chancellor is authorized to approve Acting appointments.
235-25  **Transfer to Regular Status**

An Acting appointee may be transferred to a regular appointment *at regular-scale salary* provided the appointment has had appropriate Academic Senate review and approval of the Chancellor.

a. Upon official certification that an appointee has completed all formal degree requirements, the department chairperson at the chair’s discretion, may recommend the appointee’s immediate transfer to a regular appointment *at a regular-scale salary*. When a change to a regular appointment is approved, the change in title shall be effective with the beginning of the quarter or semester following the date of completion of all formal degree requirements.

b. When a change to a regular appointment is approved, the change in title shall be effective with the beginning of the quarter following the date of completion of all formal degree requirements and the change in salary shall be effective at the beginning of the pay period for that quarter. Upon official certification that an appointee in an Acting Associate Professor or Acting Professor (or equivalent) or Acting Associate Lecturer with Security of Employment or Acting Lecturer with Security of Employment title has the permanent right to work, the appointee may be immediately transferred to a regular appointment.
c. An Acting Professor in a School of Law is eligible for consideration for promotion to Professor under the same provisions which govern the promotion of an Assistant Professor to Associate Professor. See APM - 220.

235-96 Reports

See APM - 200-96.
The University invites comments on Proposed Revised Academic Personnel Manual Sections:

- 210-3, Instructions to Review Committees That Advise on Actions Concerning the Lecturer with Security of Employment Series (APM - 210-3)
- 133-0-B, Limitation on Total Period of Service with Certain Academic Titles (APM - 133)
- 740, Leaves of Absence/Sabbatical Leaves (APM - 740)
- 135, Security of Employment (APM - 135)
- 235, Acting Appointments (APM - 235)

This is the second systemwide review of proposed revisions to the Lecturer with Security of Employment Series and incorporates comments received during the first systemwide review. Changes to the initial proposed revisions include series title and further clarification of the requirements for appointment and advancement.

The proposal is located on the UCOP Academic Personnel and Programs website, “Policies under review; Systemwide review” at [http://ucop.edu/academic-personnel-programs/academic-personnel-policy/policies-under-review/index.html](http://ucop.edu/academic-personnel-programs/academic-personnel-policy/policies-under-review/index.html). It also may be viewed at (e.g., the campus Academic Personnel Office).

If you have any questions or if you wish to comment, please contact _______________ at ________________, no later than ________________ 2018.
February 12, 2018

To: Sandra Graham, Chair
Academic Senate

Re: Second Systemwide Review Proposed APM 285, 2103, 133, 740, 135 and 235

Dear Dr. Graham,

As you requested, the Academic Senate Committee on Teaching (COT) read the Second Systemwide Review Proposed APM 285, 2103, 133, 740, 135 and 235. The COT appreciates the work of everyone who participated in writing this document as putting together such a document takes much effort and time. Our thoughts on the report are listed below:

- The COT does not agree that the working title “Teaching Professor” be used for Lecturers with Security of Employment and Lecturers with Potential for Security of Employment. Someone whose primary function is teaching, and not research, is a Lecturer and not a Professor. The COT therefore believes that the word “Professor” should not be used in any working title and instead believes that the title of “Lecturer” should be used. In addition, creating a working title like “Teaching Professor” could send the message to regular series faculty that teaching is not an essential part of their mission. The COT thinks this is a slippery slope and advises not going down this path.

- The COT does not agree that the Chancellor be given the authority to appoint an Associate Professor or Professor to the Associate Lecturer with Security of Employment or Lecturer with Security of Employment title. After so many years of dedication and service to the university, such a change in appointment could be very disheartening and demoralizing to the individual. The university should focus on approaches to boost morale among its community.

Thank you for the opportunity to comment on the report. If you have any questions, please do not hesitate to contact me at kamei@seas.ucla.edu or the Committee’s analyst, Renee Rouzan-Kay, at rrouzankay@senate.ucla.edu or x62070.

Sincerely,

Daniel Kamei, Chair
Committee on Teaching
Cc: Joseph Bristow, Vice Chair, Chair Elect Academic Senate
    Susan Cochran,Immediate Past Chair Academic Senate
    Linda Mohr, CAO, Academic Senate
    Renee Rouzan-Kay, Committee Analyst, Committee on Teaching
    Members of the Committee on Teaching
January 30, 2018

Professor Sandra Graham
Chair, UCLA Academic Senate

Re: Committee on Diversity, Equity and Inclusion’s Response to Proposed Revised APM Sections 285, 210-3, 133, 740, 135 and 235

On behalf of the 2017-2018 Committee on Diversity, Equity, and Inclusion, I am writing to provide you with the membership’s feedback concerning the recent revised APM Sections, 285, 210-3, 133, 740, 135 and 235. Members did not see any major concerns in the revisions made to the series title, enhancements in academic expectations and evaluation, compensation and salary scales. However, some members expressed concern with the revision of sabbatical practices for Security of Employment Lectures (SOEL). Revisions to the policy will now allow SOEL appointees to use the same guidelines governing sabbatical for ladder-rank faculty. This revision appears to increase the discrimination towards the Professors in-residents who are not extended this privilege. It was not clear why this revision was necessary for the SOEL series.

On behalf of the Committee on Diversity, Equity and Inclusion, I thank you for the opportunity to comment on the revisions to APM Sections, 285, 210-3, 133, 740, 135 and 235. You are welcome to contact me at esternin@g.ucla.edu with questions. Annie Speights, Policy Analyst, is also available to assist you and she can be reached at (310) 825-3853 or aspeights@senate.ucla.edu.

Sincerely,

Catia Sternini,
Chair, Committee on Diversity, Equity and Inclusion

cc: Members of the Committee on Diversity, Equity, and Inclusion
   Linda Mohr, CAO, Academic Senate
   Valeria Dimas, Executive Assistant
   Annie Speights, Committee Analyst, Committee on Diversity, Equity and Inclusion
January 31, 2018

To: Sandra Graham, Senate Chair, Academic Senate

From: Patricia Ganz, Chair, Council on Academic Personnel


Dear Professor Graham,

CAP has been asked to provide input on the Systemwide proposed revisions to the Academic Personnel Manual with respect to the Lecturer with Security of Employment Series. CAP’s members expressed several general concerns about the proposed revisions that include the following:

Some members of CAP are concerned about making members of the series voting members of the Academic Senate, specifically with respect to voting on appointments and promotions within the regular professorial series. Some members are particularly concerned with Lecturers voting on the research records of those in the professor series.

There was also general concern expressed that the new series not be used to reduce or supplant FTEs for the regular professor series, particularly as demands for more teaching increase with increased undergraduate enrollment. Related to this concern is the way in which the language in the proposal allows Chancellors to place caps on the number of hires in the series with no assurance that any such limitation will actually be imposed.

CAP also wants to ensure that when appointments in this series are evaluated (and with any series where teaching is the primary focus), we have adequate information available to us to evaluate excellence in teaching. We often get teaching evaluations in courses that have a very low response rate (sometimes well under 50 percent), and we rarely have enough information to evaluate whether teaching is cutting edge or even completely current, and many departments provide no peer teaching review. If teaching is the sole or principal basis for promotion in the new Lecturer with Security of Employment series, CAP believes that departments must adequately review and document teaching performance in ways that allow the committee to assess whether the candidate meets the appropriate standard for promotion.
Thank you for the opportunity to comment on the proposed changes. Please let us know if you have questions or need additional information.

Sincerely,

Patricia Ganz, Chair 2017-18
Council on Academic Personnel

Cc: Joe Bristow, Senate Vice-Chair/Chair-Elect, Academic Senate
    Susan Cochran, Senate Immediate Past Senate Chair, Academic Senate
    Linda Mohr, Chief Administrative Officer, Academic Senate
    Eric Malmquist, CAP Committee Analyst, Academic Senate
January 26, 2018

Sandra Graham
Chair, UCLA Academic Senate

Re: Systemwide Senate Review: Second Revisions to APM 285, 210-3, 133 and 740

Dear Professor Graham,

Thank you for providing the Council on Research (COR) with an opportunity to comment on the Proposed Revisions to APM 285, 210-3, 133 and 740, concerning the Lecturer with Security of Employment (LSOE) series. The Council discussed the proposed revisions via email and a summary of the discussion is provided below.

Some members of COR remain concerned with regards to the proposed lecturer title and potential confusion over its subdivisions. COR members also wish to reiterate that — as stated in our May 31, 2017 letter — in the UC system, teaching is a requirement for the appointment and promotion of professors; teaching is a prerequisite for professors in all academic series. By the creation of the term ‘Teaching Professor’ it may erroneously be implied that not all professors teach. Although there is the potential that the new series may be perceived as a valuable transition to current members of the LSOE series, it is possible that this is not the case for all its members. Specifically, COR members expressed concern that some members of the current series may not be able to meet the requirements of the new series. The introduction of the proposed series may also raise some questions about the overall commitment of UC to research performed by professors.

Thank you for the opportunity to review the proposed revisions to the series. If you have any questions for us, please do not hesitate to contact me at havton@mednet.ucla.edu or via the Council’s analyst, Elizabeth Feller, at efeller@senate.ucla.edu or x62470.

Sincerely,

Leif Havton, Chair
Council on Research

cc: Joseph Bristow, Vice Chair, Academic Senate
    Susan Cochran, Past Chair, Academic Senate
    Elizabeth Feller, Analyst, Council on Research
    Linda Mohr, Chief Administrative Officer, Academic Senate
    Members of the Council on Research